

BIDDING DOCUMENT FOR THE PROCUREMENT OF SERVICES

FOR

**CONTRACT TITLE: CONSULTANCY FOR THE FACILITATION OF THE MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**

**CONTRACT NUMBER**: **SADC/ 3/5/2/352**.

(Global Price)

**PROCURING ENTITY**: SADC SECRETARIAT

**ISSUED ON**: 18 February 2025

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**Section 1. Letter of Invitation**

SADC Secretariat,

Gaborone, Botswana

18 February 2025

Dear Sir/Madam,

1. The Southern African Development Community (SADC) Secretariat invites proposals to provide the following services: **CONSULTANCY FOR THE FACILITATION OF THE MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**
2. More details on the services are provided in the attached Terms of Reference.
3. A contractor will be selected under the Open Bidding procurement method and procedures described in this Bidding Documents.
4. The Request for Proposal includes the following documents:

Section 1 - Letter of Invitation

Section 2 - Information to Bidders

Section 3 - Technical Proposal - Standard Forms

Section 4 - Financial Proposal - Standard Forms

Section 5 - Terms of Reference

Section 6 - Standard Forms of Contract.

Yours sincerely,

Thomas Chabwera

**Head -Procurement Unit**

# Section 2. Information to Bidders[[1]](#footnote-1)

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| **Definitions** | (a) “BD” means the Bidding Documents to be prepared by the Procuring Entity for the selection of Contractor, based on the SADC Secretariat Standard Template.  (b) “Procuring Entity” means the procurement entity in charge of the procurement procedure.  (c) “Contractor” means any entity or person that may provide or provides the Services to the Client under the Contract.  (d) “Contract” means the Contract signed by the Parties and all the attached documents that are the General Conditions (GC), the Special Conditions (SC), and the Appendices.  (e) “Contracting Authority” means the entity with which the selected Consultant signs the Contract for the Services.  (f) “Data Sheet” means such part of the Instructions to Bidders used to reflect specific country and assignment conditions.  (g) “Day” means calendar day.  (h) “Evaluation Committee ” it is a panel of experts appointed by the Procuring Entity and assigned to evaluate the bids. The Evaluation Committee consists in a Chairperson and a Secretary, with no voting rights and an odd number of voting members.  (i) “Instructions to Bidders” (Section 2 of the BD) means the document which provides shortlisted Bidders with all information needed to prepare their Proposals.  (j) “LOI” (Section 1 of the BD) means the Letter of Invitation being sent by the Procuring Entity to the shortlisted Bidders.  (k) “Personnel” means professionals and support staff provided by the Bidders or by any Sub-Contractors and assigned to perform the Services or any part thereof; “Foreign Personnel” means such professionals and support staff who at the time of being so provided had their domicile outside the Procuring Entity’s country; “Local Personnel” means such professionals and support staff who at the time of being so provided had their domicile inside the Procuring Entity’s country.  (l) “Proposal” means the Technical Proposal and the Financial Proposal.  (m) “Services” means the consulting services or the work to be performed by the Contractor pursuant to the Contract.  (n) “Subcontractor” means any person or entity with whom the Bidder or Contractors intends to subcontracts any part of the Services.  (m) “Terms of Reference” (TOR) means the document included in the BD as Section 5 which explains the objectives, scope of work, activities, tasks to be performed, respective responsibilities of the Procuring Entity and the Contractor, and expected results and deliverables of the assignment. |
| **1. Introduction** | 1.1 The Procuring Entity named in **the Data Sheet** will select a firm/eligible institution among those listed in the Letter of Invitation, in accordance with the procurement method indicated in **the Data Sheet**, method detailed in the edition of the Guidelines indicated in **the Data Sheet**.  1.2 The shortlisted Bidders are invited to submit a Technical Proposal and a Financial Proposal for global price services required for the assignment named in **the Data Sheet** and presented in details in the Terms of Reference attached as Section 5 of this Bidding Documents. The proposal and the Terms of Reference will be the basis for contract for a signed contract with the successful firm.  1.3 The assignment shall be implemented in accordance with the phasing indicated in **the Data Sheet**. When the assignment includes several phases, the performance of the Bidder under each phase must be to the Procuring Entity's satisfaction before work begins on the next phase.  1.4 The Bidders must familiarize themselves with local conditions and take them into account in preparing their proposals. To obtain first-hand information on the assignment and on the local conditions, Bidders are encouraged to request the Procuring Entity to provide further information before submitting a proposal and to attend a pre-bid conference if one is specified in **the Data Sheet**. Attending the pre-proposal conference is optional. The Bidders’ representative should contact the Procuring Entity at the address stated in **the Data Sheet** or to obtain additional information on the pre-bid conference.  1.5 The Procuring Entity will provide the inputs specified in **the Data Sheet**, assist the firm in obtaining licenses and permits needed to carry out the services, and make available relevant project data and reports.  1.6 Please note that (i) the costs of preparing the proposal, including a visit to the Procuring Entity, are not reimbursable as a direct cost of the assignment; and (ii) the Procuring Entity is not bound to accept any of the proposals submitted.  1.7 SADC Secretariat policy requires that Bidders provide professional, objective, and impartial advice and at all times hold the Procuring Entity’s interests paramount, without any consideration for future work, and strictly avoid conflicts with other assignments or their own corporate interests. Bidders shall not be hired for any assignment that would be in conflict with their prior or current obligations to other clients, or that may place them in a position of not being able to carry out the assignment in the best interest of the Procuring Entity.  1.7.1 Bidders will be excluded from the bidding process if it will be in a conflict of interest situation as described below:   1. Conflict between consulting activities and procurement of goods, works or services. A bidder or a contractor that has been engaged by the SADC Secretariat or the Procuring Entity to provide goods, works, or services for the organization, and each of its affiliates, shall be disqualified from providing consulting services related to those goods, works or services. Conversely, a bidder or a contractor hired to provide services for the preparation or implementation of a project, and each of its affiliates shall be disqualified from subsequently providing goods, works or services resulting from or directly related to the contractor’s consulting services for such preparation or implementation. 2. Conflict among consulting assignments: Neither, bidders or contractors (including their personnel and sub-Bidders) nor any of their affiliates shall be hired for any assignment that, by its nature, may be in conflict with another assignment of the bidder or contractor. For instance, a contractor assisting SADC Secretariat or the Procuring Entity to implement a project shall not be engaged to prepare an independent assessment for the implementation of the same project, or contractors hired to prepare Terms of Reference (TOR) for an assignment shall not be hired for the assignment in question. 3. Relationship with SADC Secretariat’s or the Procuring Entity’s staff: bidders or contractors (including their personnel and sub-contractors) having business or family relationship with a member of the SADC Secretariat’s or the Procuring Entity’s staff directly or indirectly involved in any part of: (i) the preparation of the TOR or Technical Specification of a contract, (ii) the selection process for such contract, or (iii) the supervision of the contract, may not be awarded the contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the SADC Secretariat throughout the selection process and the execution of the contract.   1.7.2 Since previous or ongoing participation in relation to the assignment by the Bidder, its professional staff, or its affiliates or associates under a contract with the SADC Secretariat and Procuring Entity may result in rejection of the proposal, the bidders should clarify their situation in that respect with the Procuring Entity before preparing the proposal.  1.7.3 Bidders may be hired for downstream work, when continuity is essential, in which case this possibility shall be indicated in **the Data Sheet** and the factors used for the selection of the Bidder should take the likelihood of continuation into account. It will be the exclusive decision of the Procuring Entity whether or not to have the downstream assignment carried out, and if it is carried out, which Bidder will be hired for the purpose.  1.8 It is the SADC Secretariat’s policy to require that Procuring Entity’s staff as well as Bidders under SADC Secretariat-financed contracts observe the highest standard of ethics during the selection and execution of such contracts. In pursuance of this policy, the SADC Secretariat:   1. defines for the purposes of this provision, the terms set forth below as follows:   (i) “corrupt practice” is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;   1. “fraudulent practice” is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefits or to avoid an obligation; 2. “collusive practices” is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party; 3. “coercive practices” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party; 4. “obstructive practice”   (aa) deliberately destroying, falsifying, altering or concealing material evidence to the investigation or making false statements to investigators in order to materially impede a SADC Secretariat, or a governmental or independent investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or  (bb) acts intended to materially impede the exercise of the SADC Secretariat or governmental or inspection and audit rights.   1. It will take the following measures against the bidder recommended for award who has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question; 2. will reject the bid for award; 3. will declare the bidder/the contractor, including its affiliates, ineligible, either indefinitely or for a stated period of time, to become a SADC Secretariat contractor; 4. will cancel or terminate any ongoing contract with the bidder /the contractor; 5. will request the relevant national authorities to conduct a joint investigation with SADC Secretariat to inspect or carry out audits of the bidder /the contractor’ accounting records and financial statements in connection with the contract in question for which it was found guilty of engaging in corrupt, fraudulent, collusive, coercive, or obstructive practices; 6. will forfeit the bid or performance securities of the bidder /the contractor; 7. will suspend any payments due to the bidder/ contractor, under the contract in question or any other contract the bidder/contractor might have with the organization, until the extent of damage caused by the its engagement in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the SADC Secretariat’s contract are determined and recovered, and 8. will sue the bidder /contractor to recover the damages caused by its engagement in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question, if they are not fully recovered by the securities and the payments otherwise due to the bidder/contractor.   1.9 Neither the shortlisted Bidders nor their personnel or subcontractor shall not be under a declaration of ineligibility for corrupt and fraudulent practices issued by the SADC Secretariat in accordance with the above sub para. 1.8 (d).  1.10 Bidders shall furnish information as described in the Financial Proposal submission form (Section 4A) on commissions and gratuities, if any, paid or to be paid to agents relating to this proposal, and to execute the work if the firm is awarded the contract.  1.11 Without limitation on the generality of this rule, Bidders, and their subcontractors and personnel shall not be hired under the circumstances set forth below:  (a) They are bankrupt;  (b) Payments to them have been suspended in accordance with the judgment of a court of law other than a judgment declaring bankruptcy and resulting, in accordance with their national laws in the total or partial loss of the right to administer and dispose of their property;  (c) Legal proceedings have been instituted against them involving an order suspending payments and which may result, in accordance with their national laws, in a declaration of bankruptcy or in any other situation entailing the total or partial loss of the right to administer and dispose of their property;  (d) They have been convicted, by a final judgment, of any crime or offence concerning their professional conduct;  (e) They are guilty of serious misrepresentation with regard to information required for participation in an invitation to tender;  (f) They have been sanctioned by SADC Secretariat according to the SADC Secretariat Policy for Procurement and Grants.  1.11 Only shortlisted Bidders are allowed to participate in this bidding process. If a Bidders is shortlisted as Joint Venture or Consortium, the composition of Joint Venture or Consortium can be changed with prior approval of the Procuring Entity and only if :(i) is supported by solid and objective arguments, (ii) does not alter the competition, (iii) is not generating a conflict of interest, and (iv) is not invalidating the criteria and conditions in place when the joint venture or consortium was prequalified. |
| **2. Clarification and Amendment of Bidding Documents** | 2.1 Bidders may request a clarification of any of the Bidding Documents up to the number of days indicated in **the Data Sheet** before the proposal submission date. Any request for clarification must be sent in writing by paper mail, cable, telex, facsimile, or electronic mail to the Procuring Entity’s address indicated in **the Data Sheet**. The Procuring Entity will respond by cable, telex, facsimile, or electronic mail to such requests and will send written copies of the response (including an explanation of the query but without identifying the source of inquiry) to all invited Bidders who intend to submit proposals.  2.2 At any time before the submission of proposals, the Procuring Entity may, for any reason, whether at its own initiative or in response to a clarification requested by an invited firm, amend the BD. Any amendment shall be issued in writing through addenda. Addenda shall be sent by mail, cable, telex, facsimile, or electronic mail to all invited Bidders and will be binding on them. The Procuring Entity may at its discretion extend the deadline for the submission of proposals. |
| **3. Preparation of Proposal** | 3.1 Bidders are requested to submit a proposal written in the language indicated in the **Bid Data Sheet.** All correspondence between the Bidder and Contracting Authority shall be in this language. |
| **Technical Proposal** | 3.2 In preparing the Technical Proposal, Bidders are expected to examine the documents constituting this BD in detail. Material deficiencies in providing the information requested may result in rejection of a proposal.  3.3 While preparing the Technical Proposal, Bidders must give particular attention to the following:  (i) If a Bidder considers that it does not have all the expertise for the assignment, it may obtain a full range of expertise by associating with individual Bidder(s) and/or subcontract part of the services to other consulting firms, as appropriate. In case of subcontracting this shall be in the limit stated in **the Data Sheet** but under no circumstances shall exceed forty percent (40%) of the total staff-days input. The Bidders are encouraged to seek the participation of regional Bidders when subcontracting part of the assignment. Under no circumstances, the Bidders shall associate with the other short listed Bidders, or their affiliates, invited for this assignment. Affiliates are the group of companies, firms, associations, etc. where the Bidder or any of the major shareholders owns a minimum of twenty percent (20%) of shares of the share capital. For the same purpose, major shareholder is any legal or physical person who owns no less than twenty percent (20%) of the shares of the Bidder.  (ii) For assignments on a global priced contract, the estimated number of professional working days is given in **the** **Data Sheet**.  (vi) Reports to be issued by the Bidders as part of this assignment must be in the language(s) specified in **the** **Data Sheet.** It is desirable that the firm’s personnel have a working knowledge of the official languages of the country (ies) of the assignment.  3.4 The Technical Proposal shall provide the following information using the attached Standard Forms (Section 3):  (i) Any comments or suggestions on the Terms of Reference on facilities to provided by the Procuring Entity and on Standard Form of Contract (Form Tech 2).  (ii) A description of the methodology and work plan for performing the assignment (Form Tech 3).  (iii) If requested in the TOR the list of the proposed staff team for the implementation.  (vi) A detailed description of the proposed methodology, staffing, and monitoring of training, if **the Data Sheet** specifies training as a major component of the assignment.  (vii) Any additional information requested in **the Data Sheet**.  3.5 The Technical Proposal shall not include any financial information. If financial information is included in the technical proposal this will be automatically disqualified. |
| **Financial Proposal** | 3.6 In preparing the Financial Proposal, Bidders are expected to take into account the requirements and conditions outlined in the BD documents. The Financial Proposal should follow Standard Forms (Section 4). It lists all costs associated with the assignment, including (a) lump sums and (b) reimbursable expenses if the case. The Reimbursable expense shall cover **only** the cost indicated in **the Data Sheet.** All other cost estimated by the bidders for the execution of the assignment shall be included in the lump sum.  3.7 The Financial Proposal **shall not** include the local taxes (including social security), duties, fees, levies, and other charges imposed under the applicable law in the Procuring Entity’s country or in the countries of assignment, on the Bidders, the subcontractors, and their personnel (other than nationals or permanent residents of the Procuring Entity’s country), unless **the Data Sheet** specifies otherwise. For this purpose, the bidders’, the subcontractors’ and their personnel’ home countries shall not be considered as countries of assignment.  3.8 If so, specified in **the Data Sheet,** the Financial Proposal **must** include, without any modification, the amount indicated as fixed reimbursable expenses, to cover for the expenditures already priced by the Procuring Entity (i.e, cost of trainings, cost of study tours, cost of financial audits, cost of equipments, etc).  3.9 The total budget available for this assignment, including the taxes indicated at para. 3.7 and the reimbursable expenses indicated at paragraph 3.8, is indicated in **the Data Sheet**. **Financial Proposal exceeding the available budget will be rejected as non-responsive.**  3.10 Bidders **must** express the price of their services in the US Dollars. The payment will be made in **US Dollars**, and the Bidder shall bear all the cost and risks implied by the currency exchange. **Financial Proposals expressed in other currencies than the US Dollars will be automatically disqualified.** 3.11 Commissions and gratuities, if any, paid or to be paid by Bidders and related to the assignment will be listed in the Financial Proposal submission form (Section 4A). 3.12 **The Data Sheet** indicates how long the proposals must remain valid after the submission date. During this period, the Bidder is expected to keep available the professional staff proposed for the assignment. The Procuring Entity will make its best effort to complete evaluation within this period. If the Procuring Entity wishes to extend the validity period of the proposals, the Bidders who do not agree have the right not to extend the validity of their proposals. |
| **4. Submission, Receipt, and Opening of Proposals** | 4.1 The original proposal (Technical and Financial Proposal) shall be prepared in indelible ink. It shall contain no interlineation or overwriting, except as necessary to correct errors made by the firm itself. Any such corrections must be initialed by the persons or person who sign(s) the proposals.  4.2 ***An authorized representative of the firm initials all pages of the proposal. The representative’s authorization is confirmed by a written power of attorney accompanying the proposal.***  4.3 For each proposal, the Bidders shall prepare the number of copies indicated in the **Data Sheet.** Each Technical Proposal and Financial Proposal shall be marked “Original” or “Copy” as appropriate. If there are any discrepancies between the original and the copies of the proposal, the original governs.  4.4 The original and all copies of the Technical Proposal shall be placed in a sealed envelope clearly marked “Technical Proposal,” and the original and all copies of the Financial Proposal in a sealed envelope clearly marked “Financial Proposal” and warning: “Do Not Open with the Technical Proposal.” Both envelopes shall be placed into an outer envelope and sealed. This outer envelope shall bear the submission address and other information indicated in the Data Sheet and be clearly marked, “Do Not Open, Except in Presence of the Evaluation Committee.” **Information on the outer and inner envelopes should also include the name of the Bidder and the contract name and reference number.**  4.5 The completed Technical and Financial Proposals must be delivered at the submission address on or before the time and date stated in **the Data Sheet.** Any proposal received after the closing time for submission of proposals shall automatically rejected and shall be returned unopened to the Bidder. |
| **5. Proposal Evaluation** |  |
| **General** | 5.1 From the time the bids are opened to the time the contract is awarded, if any Bidder wishes to contact the Procuring Entity on any matter related to its proposal, it should do so in writing at the address indicated in **the Data Sheet**. Any effort by the firm to influence the Procuring Entity in the Procuring Entity’s proposal evaluation, proposal comparison or contract award decisions may result in the rejection of the Bidder’s proposal.  5.2 Evaluators of Technical Proposals shall have no access to the Financial Proposals until the technical evaluation, including any SADC Secretariat reviews, is concluded. |
| **Public Opening and Evaluation of Technical Proposals: Scoring** | * 1. The Procuring Entity shall conduct the bid opening in public at the address, date and time specified in **the** **Data Sheet.** Only the representatives of the bidders and the Evaluation Committee members are allowed to participate in public opening sessions. Any other interested person shall request, in writing, the SADC Secretariat’s permission to participate in a specific bid opening session.   2. The bid opening shall commence with the Chairperson the Evaluation Committee reading out the Bidders’ names and the time of arrival of the proposal. A registration number will be given to each proposal. All envelopes shall be opened one at a time, by the Chairperson of the Evaluation Committee, in order of their arrival.   3. At the opening, only the Technical Proposal envelope shall be opened immediately and checked for compliance with formal submission requirements by the evaluation committee. The Financial Proposal shall remain sealed and deposited in a safe place until all submitted proposals, of technically responsive bids, are opened publicly. In case the envelopes are not submitted separately the Bidder will be excluded.   4. No Bid shall be rejected at Bid opening except for late bids, in accordance with ITB Sub-Clause 4.5 and the other listed in the template for opening checklist (see in Annex to Services to SADC Guidelines for Procurement and Grants). Only envelopes that are opened and read out at Bid opening shall be considered further.   5. The Procuring Entity shall prepare the minutes of the Bid opening that shall include a brief description of the bid opening procedures and its finding as. The Bidders’ representatives who are present shall be requested to sign the attendance sheet. A copy of the minute shall be distributed to all Bidders who submitted bids in time.   6. Once the Bid opening is concluded, the Evaluation Committee, as a whole, and each of its voting members individually, evaluates the proposals on the basis of their responsiveness to the Terms of Reference, applying the evaluation criteria, sub criteria (typically not more than three per criteria), and point system specified in **the Data Sheet**. Each responsive proposal will be given a technical score (St). A proposal shall be rejected at this stage if it does not respond to important aspects of the Terms of Reference or if it fails to achieve the minimum technical score indicated in **the Data Sheet.** |
| **Public Opening and Evaluation of Financial Proposals: Ranking** | 5.9 After the evaluation of quality is completed, the Procuring Entity shall notify those Bidders whose proposals did not meet the minimum qualifying mark or were considered nonresponsive to the BD and Terms of Reference, indicating that their Financial Proposals will be returned unopened after completing the selection process. The Procuring Entity shall simultaneously notify the Bidders that have secured the minimum qualifying mark, indicating the date and time set for opening the Financial Proposals. The opening date shall not be sooner than two weeks after the notification date. The notification may be sent by registered letter, cable, telex, facsimile, or electronic mail.  5.10 The Financial Proposals shall be opened publicly in the presence of the Bidders’ representatives who choose to attend. The name of the Bidder, the technical scores, and the proposed prices shall be read aloud and recorded when the Financial Proposals are opened. The Procuring Entity shall prepare minutes of the public opening.  5.11 The evaluation committee will determine whether the Financial Proposals are complete (i.e., whether they have costed all items of the corresponding Technical Proposals; if not, the Procuring Entity will cost them and add their cost to the initial price), correct any computational errors, and determine if the total price is within the maximum budget available. The evaluation shall exclude those taxes, duties, fees, levies, and other charges imposed under the applicable law; and to be applied to foreign and non-permanent resident Bidders (and to be paid under the contract, unless the Bidder is exempted), and estimated as per paragraph. 3.7. **Should the Financial Proposal, after applying any correction or adjustments, exceeds the available budget for the assignment indicated at paragraph 3.9, this shall be automatically disqualified.**  5.12 **Once corrections or adjustments have been applied, the Financial Proposal shall be adjusted with the Regional Preference.** If so **specified in the** **BDS**, The Procuring Entity shall grant a margin of preference in the evaluation of bids form companies nationals from the SADC region when compared to bids from elsewhere. The margin of preference shall be calculated as a maximum fifteen percent (15%) discount to the evaluated total price. In case of a consortium, to qualify for the regional preference, the applicant must be from the region and the companies providing at least 50% of the services offered must be from the region.  5.13 The lowest Financial Proposal (Fm) will be given a financial score (Sf) of 100 points. The financial scores (Sf) of the other Financial Proposals will be computed as indicated in the Data Sheet.Proposals will be ranked according to their combined technical (*St*) and financial (*Sf*) scores using the weights (*T* = the weight given to the Technical Proposal; *P* = the weight given to the Financial Proposal;  *T* + *P* = 1) indicated in **the Data Sheet**: . The firm achieving the highest combined technical and financial score will be awarded the contract. |
| **6. Negotiations of Contract** | 6.1 The Contracting Authority, prior to award the contract, may enter into negotiation with the successful bidder in order to confirm the availability for the assignment, incorporation in the methodology of the aspects for which clarifications where requested during the evaluation and the modification of the schedule of mobilization of the team and submission of deliverables under the contract.  6.2 No negotiation on the: (i) unit or total price, and/or (ii) proposed methodology is allowed.  6.3 As far as possible, the negotiation shall be conducted in writing. Only on exceptional circumstances, the Contracting Authority and the successful shall meet for negotiations. In such case the meeting shall take place at the address indicated in the **Bid Data Sheet**.  6.5 The negotiations shall be recorded in a minute of the negotiations and be attached as annex to the contract. |
| **7. Award of Contract** | 7.1 The Bidder who submitted a technical and financial responsive proposal and received the highest combined score, calculated as per formula given in paragraph 5.13 and the Data Sheet, will be awarded the contract.  7.2 After the approval of the Evaluation Report, the Procuring Entity will promptly notify other both the success and the unsuccessful bidders about the outcome of the evaluation of the bids.  7.3 In case of the successful Bidder, following the notification of award the validity of its offer shall be automatically extended with sixty (60) days.  7.4 No later than thirty (30) days from that date of notification of the recommendation for the award of the contract, the Procuring Entity shall submit to the applicant the contract for the services. The successful Bidder shall be given fifteen (15) days to sign the contract to the Contracting Authority. If it fails to do so, the Procuring Entity may consider cancelling the award of the contract.  7.5 The Contractor is expected to commence the assignment on the date and at the location specified in **the Data Sheet.** |
| **8. Confidentiality** | 8.1 Information relating to evaluation of proposals and recommendations concerning awards shall not be disclosed to the Bidders who submitted the proposals or to other persons not officially concerned with the process, until the winning firm has been notified that it has been awarded the contract. |
| **9. Appeals** | 9.1 Short listed Bidders may appeal any of the Procuring Entity’s decision taken during the evaluation process by following the procedures described on the SADC Secretariat Guidelines at the article specified in **the Data Sheet.** |

**Information to Bidders**

## Data Sheet

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| **Clause Reference** | **Clarifications and/or Amendment to Reference Clause** |
| 1.1 | The name of the Procuring Entity is **SADC Secretariat**  The name of the Contracting Authority is **SADC Secretariat**  The procurement method is: **Open Bidding**  The current bidding process is governed by SADC Procurement and Grants Policy, August 2019 and SADC Procurement and Grants Guidelines, January 2021, which can be downloaded from the SADC Secretariat website [**https://www.sadc.int/opportunities/procurement/sadc-procurement-documentation/**](https://www.sadc.int/opportunities/procurement/sadc-procurement-documentation/) |
| 1.2 | Contract Name: **CONSULTANCY FOR THE FACILITATION OF THE**  **MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**  Contract Reference: **SADC/ 3/5/2/352.** |
| 1.3 | The assignment is phased**: No** |
| 1.4 | A pre-bid conference will be held: **No**  For further information and clarifications, the Procuring Entity’s Representative(s) can be contacted in writing at the address stated in **BDS 2.1.** |
| 1.5 | The Procuring Entity will provide the following inputs: The Contracting Authority shall use its best efforts to assist the Contractor to obtain, where necessary, from the responsible Government Authority in Contracting Authority’s country the required permits or approvals. |
| 1.7.3 | The Procuring Entity envisages the need for continuity for downstream work: **No** |
| 2.1 | The Last date to receive requests for Clarifications is **26 February 2025, 2024, at 16:30** **Hours Botswana time**.  The Last date for Responses is **5 March 2025 at Midnight Botswana time.**  The address for requesting clarifications is:  **Head of Procurement**  **Southern African Development Community (SADC) Secretariat**  **Attention: Mr. Thomas Chabwera**  **Email:** [**gsalima@sadc.int**](mailto:gsalima@sadc.int) **and** [pncube@sadc.int](mailto:pncube@sadc.int)  **With copy to** [**tchabwera@sadc.int**](mailto:tchabwera@sadc.int)  The correspondence shall bear the following reference**:**  Contract Name: **CONSULTANCY FOR THE FACILITATION OF THE**  **MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**    Contract Reference: **SADC/3/5/2/352.** |
| 3.1 | The language of the bidding process is: **English.**  However, any supporting documents that are part of the bid shall be issued in any SADC Secretariat official languages (i.e: English, French and Portuguese). If the original documents are written in a language other than SADC Secretariat official languages, they shall be accompanied by an original certified translation into any of the SADC Secretariat official languages. The cost of the translation shall be borne by the bidders.  In case of discrepancies between the original language and the language of translation, the language of translation shall prevail. |
| 3.3 | (i) The Bidder **will not** subcontract any portion of the assignment.  (ii) The estimated implementation period for the assignment is: **150days**   1. Must be written in the following language(s): **English only**. |
| 3.4(vi) | Training is **Not** the subject matter of this assignment. |
| 3.4(vii) | Additional information in the Technical Proposal includes:  **(i) Valid copy of Certificate of incorporation**  **(ii) Valid copy of tax clearance** |
| 3.6 | There are no reimbursable expenses. All expenses are included in the global price of the contract. |
| 3.7 | Taxes: **The financial proposal should include all applicable taxes** |
| 3.8 | This contract has **no** reimbursable expenses. |
| 3.9 | The maximum available budget for this contract: **US$26,697.00** |
| 3.12 | Proposals must remain valid for **120 days** after the submission date. |
| 4.3 | Bidders must submit electronic version of the **technical proposal only in PDF Format**. Bidders passing the threshold under 5.8 below (i.e. technically responsive bids) will be asked to submit the financial proposals.  Bidders must submit electronic version of **technical proposal only** via **link:** <https://collab.sadc.int/s/QBDjH6JbfFftjfb>   * *Bidders are advised to submit their proposals during working hours for support in case of any technical problems. The technical support team will not be available after working hours.* * *Kindly upload your file on the link as a zipped folder containing all your documents and label it with your name.* |
| 4.4 | The proposal submission is collab link provided below.  <https://collab.sadc.int/s/QBDjH6JbfFftjfb> |
| 4.5 | Proposals must be submitted no later than the following date and time:  **18 March 2025 by Midnight Botswana Time** |
| 5.1 | The address to send information to the Procuring Entity is:  Mr. Thomas Chabwera  Head of Procurement  SADC Secretariat  **Email:** [gsalima@sadc.int](mailto:gsalima@sadc.int) and [pncube@sadc.int](mailto:pncube@sadc.int)  With copy: [tenders@sadc.int](mailto:tenders@sadc.int); [tchabwera@sadc.int](mailto:tchabwera@sadc.int) |
| 5.3 | There will be no bid public opening |
| 5.8 | **TECHNICAL SCORE (100 points)**  The number of points to be given under each of the evaluation criteria are:    **ORGANISATION AND METHODOLOGY: (40 points)**  Understanding of the Terms of Reference 15  Technical Approach and Methodology 15  Organization and Staffing 10    **QUALIFICATION AND COMPETENCE OF KEY EXPERTS – (60 points) (FORM TECH 5)**   * Team Leader/ Lead Drafter – 40points * Support Member/Facilitator – 20points.     The number of points to be given under each evaluation sub-criteria for key staff, expressed in percentage from the number points allocated to each key staff, are:    (i) Qualifications & Skills: 30 Points  (ii) Specific professional experience : 50 Points  (iii) General Professional Experience & Skills: 20 Points  The minimum technical score required to pass: **70 points**. Bids not reaching 70 points shall be considered not compliant. Out of the 70 points thresholds, the best technical offer is awarded 100 points. The others receive points calculated using the following formula: Technical score = (final score of the technical offer in question/final score of the best technical offer) x100. Technical scores will then be multiplied/weighted by 0.8. |
| 5.10 | There will be no financial public opening. |
| 5.12 | **Once corrections or adjustments have been applied, the Financial Proposal shall be adjusted with the Regional Preference.**  The Procuring Entity shall grant a margin of preference in the evaluation of bids from companies’ nationals from the SADC region when compared to bids from elsewhere. The margin of preference shall be calculated as a maximum fifteen percent (15%) discount to the evaluated total price. In case of a consortium, to qualify for the regional preference, the applicant must be from the region and the companies providing at least 50% of the services offered must be from the region.  For Services to qualify for the regional preference, a bid offering fifty percent (50) % or more expertise (experts) from the SADC Region will be discounted, for the purpose of financial evaluation only, with fifteen percent (15%) |
| 5.13 | Financial evaluation: The Evaluation Committee shall proceed with the financial comparisons of the fees between the different financial offers. The offer with the lowest total fees shall receive 100 points. The others are awarded points by means of the following formula: Financial score = (lowest total fees /total fees of the tender being considered) x 100. Financial scores will then be multiplied/weighted by 0.2. |
| 6.3 | The address where the negotiations of the contract ***(if required)*** will be held is:  **The SADC Secretariat,**  **CBD Plot 54385**  **New CBD,** **Gaborone,** **Botswana** |
| 7.5 | The expected date to commence the assignment will be communicatedbut under no circumstances shall be earlier than two (2) weeks after the contract signature by both parties. |
| 9.1 | The procedures to be followed to appeal a Procuring Entity decision in the procurement process are described in the article **7** of the SADC Secretariat Procurement Guidelines **August 2021 Edition.** |

# Section 3. Technical Proposal Submission Form

[*Comments in brackets* [ ] *provide guidance to the Bidders for the preparation of their Technical Proposals; they should not appear on the Technical Proposals to be submitted.*]

# Section 3. Technical Proposal Submission Form

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* [FORM TECH-1 Technical Proposal Submission Form](#_Toc37342601)
* [FORM TECH- 2 Comments and Suggestions on the Terms of REFERENCE, on Counterpart Staff and Facilities to be Provided by the Procuring Entity and on Standard Contract Form](#_Toc37342602)
* [FORM TECH-3 Description of Approach, Methodology and Work Plan for Performing the Assignment](#_Toc37342603)
* [FORM TECH-4 Team Composition and Task Assignments](#_Toc37342604)
* [Form TECH - 5Curriculum Vitae (CV) for Proposed Professional Staff](#_Toc37342605)
* [Form TECH-6 Staffing Schedule](#_Toc37342606)
* [Form TECH-7 Work Schedule](#_Toc37342607)

### Form TECH-1 Technical Proposal Submission Form

***[Location, Date]***

To: [*Name and address of Procuring Entity*]

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for **CONSULTANCY FOR THE FACILITATION OF THE MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION- SADC/3/5/2/352**in accordance with your Bidding Documents dated **18th February 2025** and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal, and a Financial Proposal sealed under a separate envelope.

Our Proposal is binding upon us and subject to the modifications resulting from correction and clarification made during the evaluation process, for a period of ***120 days*** form the deadline for submission of the bid, as indicated in the Data Sheet reference to clauses 3.12 and 4.5.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Name and Title of Signatory:

Name of Firm:

Address:

Phone:

Facsimile:

e-mail:

### FORM TECH- 2 Comments and Suggestions on the Terms of REFERENCE, on Counterpart Staff and Facilities to be Provided by the Procuring Entity and on Standard Contract Form

**A – On the Terms of Reference**

[*Present and justify here any modifications or improvement to the Terms of Reference you are proposing to improve performance in carrying out the assignment (such as deleting some activity you consider unnecessary, or adding another, or proposing a different phasing of the activities). Such suggestions should be concise and to the point, and incorporated in your Proposal.*]

**B – On Counterpart Staff and Facilities**

[*Comment here on counterpart staff and facilities to be provided by the Contracting Authority as indicated in the TORs or include your own requirements of administrative support, office space, local transportation, equipment, data, etc.*]

**C – On Standard Form of Contract**

*[Please recommend any change in the Standard Form of Contract’s clauses you would like to see incorporated in the final Contract. Please indicate which of the proposed changes, if not accepted by the Procuring Entity could determine you to reject the Contract for this assignment. Use maximum 2 pages]*

**FORM TECH-3 Description of Approach, Methodology and Work Plan for Performing the Assignment**

[Technical approach, methodology and work plan are key components of the Technical Proposal. You are suggested to present your Technical Proposal **(max. 15 pages, inclusive of charts and diagrams)** divided into the following three chapters:

1. *Technical Approach and Methodology,*
2. *Organization and Staffing,*

*a) Technical Approach and Methodology. In this chapter you should explain your understanding of the objectives of the assignment, approach to the services, methodology for carrying out the activities and obtaining the expected output, and the degree of detail of such output. You should highlight the problems being addressed and their importance, and explain the technical approach you would adopt to address them. You should also explain the methodologies you propose to adopt and highlight the compatibility of those methodologies with the proposed approach.*

*b) Organization and Staffing. In this chapter you should propose the structure and composition of your team. You should list the main disciplines of the assignment and proposed technical and support staff.*]

### FORM TECH-4 Team Composition and Task Assignments

|  |  |  |  |
| --- | --- | --- | --- |
| Professional Staff | | | |
| Name of Staff | Area of Expertise | Position Assigned | Task Assigned |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

### FORM TECH - 5 Curriculum Vitae (CV) for Proposed Professional Staff[[2]](#footnote-2)

|  |  |
| --- | --- |
| **Proposed role in the project:** | *[insert the name of the position and indicate the key position]* |
| **1. Family name:** | *[insert the name]* |
| **2. First names:** | *[insert the names in full]* |
| **3. Date of birth:** | *[insert the date]* |
| **4. Nationality:** | *[insert the country or countries of citizenship]* |
| **5. Civil status:** | *[insert: married/ divorced/single/ widower]* |
| **6. Contract details:** | ***Address****:[insert the physical address]* |
|  | ***Phone*** *:[insert the phone and mobile no.]* |
|  | ***E-mail:*** *[insert the email]* |
| **8. Education:** |  |
|  |  |
| **Institution:**  **[Date from – Date to]** | **Degree(s) or Diploma(s) obtained:** |
| *[indicate the month and the year]* | *[insert the name of the diploma and the specialty/major]* |
| *[indicate the month and the year]* | *[insert the name of the diploma and the specialty/major]* |

**7. Language skills:** (Indicate competence on a scale of 1 to 5) (1 – excellent; 5 – basic)

|  |  |  |  |
| --- | --- | --- | --- |
| **Language** | **Reading** | **Speaking** | **Writing** |
| *[insert the language]* | *[insert the no.]* | *[insert the no.]* | *[insert the no.]* |
| *[insert the no.]* | *[insert the no.]* | *[insert the no.]* | *[insert the no.]* |
| *[insert the no.]* | *[insert the no.]* | *[insert the no.]* | *[insert the no.]* |

|  |  |
| --- | --- |
| **8. Membership of professional bodies:** | *[indicate the name of the professional body]* |
| **9. Other skills:** | *[insert the skills]* |
| **10. Present position:** | *[insert the name]* |
| **11. Years of experience:** | *[insert the no]* |
| **12. Key qualifications:** (Relevant to the assignment)  *[insert the key qualifications]* | |

**13. Specific experience in the region:**

|  |  |
| --- | --- |
| **Country** | **Date from - Date to** |
| *[insert the country]* | *[indicate the month and the year]* |
| *[insert the country]* | *[indicate the month and the year]* |
| *[insert the country]* | *[indicate the month and the year]* |

1. **Professional experience:**

| **Date from – Date to** | **Location of the assignment** | **Company& reference person (name & contact details)** | **Position** | **Description** |
| --- | --- | --- | --- | --- |
| *[indicate the month and the year]* | *[indicate the country and the city]* | ***Name of the Company:***  ***Address of the company:***  ***Phone:***  ***Fax:***  ***Email:***  ***Name and title of the reference person from the company:*** | *[indicate the exact name and title and if it was a short term or a long term position]* | ***Name of the contract:***  ***Beneficiary of the contract:***  ***Brief description of the contract:***  ***Responsibilities:*** |
| *[indicate the month and the year]* | *[indicate the country and the city]* | ***Name of the Company:***  ***Address of the company:***  ***Phone:***  ***Fax:***  ***Email:***  ***Name and title of the reference person from the company:*** | *[indicate the exact name and title and if it was a short term or a long term position]* | ***Name of the contract:***  ***Beneficiary of the contract:***  ***Brief description of the contract:***  ***Responsibilities:*** |
| *[indicate the month and the year]* | *[indicate the country and the city]* | ***Name of the Company:***  ***Address of the company:***  ***Phone:***  ***Fax:***  ***Email:***  ***Name and title of the reference person from the company:*** | *[indicate the exact name and title and if it was a short term or a long term position]* | ***Name of the contract:***  ***Beneficiary of the contract:***  ***Brief description of the contract:***  ***Responsibilities:*** |

1. **Other relevant information:** (e.g. Publications)

***[insert the details]***

1. ***Statement:***

I, the undersigned, certify that to the best of my knowledge and belief, this CV correctly describes myself, my qualifications, and my experience. I understand that any wilful misstatement described herein may lead to my disqualification or dismissal, if engaged.

I hereby declare that at any point in time, at the SADC Secretariat request, I will provide certified copies of all documents to prove that I have the qualifications and the professional experience and indicated at points 8 and 14 above**3[[3]](#footnote-3),** documents which are attached to this CV as photocopies.

By signing this statement, I also authorised the SADC Secretariat to contact my previous or current employers indicated at point 14 above, to obtain directly reference about my professional conduct and achievements.

|  |  |  |
| --- | --- | --- |
|  | Date: |  |

**ATTACHMENTS:** ***1) Proof of qualifications indicated at point 8***  
 ***2) Proof of working experience indicated at point 14***

### FORM TECH-6 STAFFING Schedule

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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| N° | **Name of Staff[[4]](#footnote-4)** | **Staff input (in the form of a bar chart)[[5]](#footnote-5)** | | | | | | | | | | | | | | | | | | | | | | | | **Total staff-days input[[6]](#footnote-6)** | | | | | |
| **1** | **2** | **3** | | **4** | | **5** | | **6** | | **7** | | **8** | | **9** | | **10** | | **11** | | **12** | | **n** | | **Home** | | **Field[[7]](#footnote-7)** | | **Total** | |
| **Key Experts** | | | | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| 1 |  | [Home] |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| [Field] |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
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|  |  |  |  |  | |  | |  | |  | |  | |  | |  | | **Subtotal** | | | | | | | |  | |  | |  | |
| **Non key experts** | |  |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| 1 | **TBA** | [Home] |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| [Field] |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
| 2 | **TBA** |  |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
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| n | **TBA** |  |  |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |  | |
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|  |  |  |  |  | |  | |  | |  | |  | |  | |  | | **Subtotal** | | | | | | | |  | |  | |  | |
|  |  |  |  |  | |  | |  | |  | |  | |  | |  | | **Total** | | | | | | | |  | |  | |  | |

### FORM TECH-7 Work Schedule

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **N°** | **Activity[[8]](#footnote-8)** | **Months[[9]](#footnote-9)** | | | | | | | | | | | | |
| **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9** | **10** | **11** | **12** | **n** |
| 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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# Section 4. Financial Proposal Submission Forms

[*Comments in brackets* [ ] *provide guidance to the Service Providers for the preparation of their Financial Proposals; they should not appear on the Financial Proposals to be submitted.*]

* [Form FIN-1 Financial Proposal Submission Form](#_Toc267380419)
* [Form FIN-2 Summary of Costs](#_Toc267380420)

## FORM FIN-1 Financial Proposal Submission Form

**[*Location, Date*]**

To: **The SADC Secretariat**

Ladies/Gentlemen:

We, the undersigned, offer to provide the consulting services for **CONSULTANCY FOR THE FACILITATION OF THE MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION- SADC/3/5/2/352  *-*** in accordance with your Bidding Documents dated **18 February 2025** and our Proposal (Technical and Financial Proposals). Our attached Financial Proposal is for the sum of US$ ***[insert the amount in words and figures].*** However, the Financial Proposal includes the reimbursable expenses indicated in the Data Sheet reference to the clause 3.8, amounting US$ ***[insert the amount(s) in words and figures].***

Our Financial Proposal shall be binding upon us subject to the modifications resulting from correction and clarification made during the evaluation process, up to expiration of the validity period of the Proposal.

We understand you are not bound to accept any Proposal you receive.

We remain,

Yours sincerely,

Name and Title of Signatory:

Name of Firm:

Address:

Phone:

Facsimile:

E-mail:

## Form FIN-2 SUMMARY of Costs

|  |  |
| --- | --- |
| **Cost component** | **Costs** |
| **(US$)** |
| **Contract Price\*\*** |  |
| Total |  |



**TERMS OF REFERENCE**

**GLOBAL PRICE**

**FOR**

**SHORT TERM CONSULTANCY FOR THE FACILITATION OF THE**

**MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**

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# 1. BACKGROUND INFORMATION

## 1.1. Partner country and procuring entity

Southern African Development Community (SADC)

## 1.2. Contracting authority

Southern African Development Community Secretariat (SADC Secretariat)

## 1.3. Country background

The Southern African Development Community (SADC) is a Regional Economic Community comprising 16 Member States, namely, Angola, Botswana, Comoros, Democratic Republic of Congo, Eswatini, Lesotho, Madagascar, Malawi, Mauritius, Mozambique, Namibia, Seychelles, South Africa, Tanzania, Zambia, Zimbabwe. Established in 1992, SADC is committed to Regional Integration and poverty eradication within Southern Africa through economic development and ensuring peace and security.

The SADC Region has put in place the Regional Indicative Strategy Development Plan (RISDP) Implementation Plan 2020-2030 which outlines the DRM strategic objectives that are in line with the Sendai Framework for DRR and the Africa Strategy for DRR and extended Programme of Action (PoA), Sustainable Development Goals (SDGs) and the Africa Union Agenda 63 as well as the Paris Agreement and key aspects of the Glasgow Pact on Climate Change. The region recently developed the SADC Disaster Risk Management Strategy and Action Plan 2022-2030 which has enlisted ‘*Strategic Priority 4: Disaster Risk, Innovation, Technology and Knowledge Management’*. Therefore, the regional DRM approach at their core is advanced by the effective information management for increased DRR knowledge.

In June 2015, Ministers responsible for Disaster Risk Management and Ministers of Finance and Investment recommended to the Ministerial Committee of the Organ (MCO) the establishment of a statutory MCO Standing Sub-committee on Disaster Risk Management and made recommendations to Council for approval; and, for the Ministerial Standing Sub-committee of DRM to be a statutory Committee for the MCO. The Terms of Reference (TORs) for the Sub-committee include amongst others the provision of “a platform for exchange of information, best practices and lessons learnt, as well as peer review on DRM issues”.

Endorsing the June 2015 decision and in line with the TORs for the Sub-committee, the Ministers responsible for DRM in their meeting in Zanzibar, United Republic of Tanzania in February 2020 emphasized on the need for alignment of the regional disaster risk reduction policy and strategic framework with the Sendai Framework for Disaster Risk Reduction (SFDRR). […]

In 2020/2021, with support from the World Bank (WB) the Secretariat’s DRR Unit developed the Regional DRM Policy and Advocacy Programme 2020-2030 to facilitate the implementation of the DRM Peer Review Mechanism (PRM). The Programme was approved by the Ministers responsible for DRM in their Meeting in May 2021 and is currently under implementation guided by the Terms of Reference approved by Ministers during the same meeting. Two Member States, the Republic of Malawi and the Republic of Namibia have undertaken the peer reviews coordinated by the Secretariat, with the Governments of Madagascar, South Africa, and Zambia serving as reviewing peers.

In their meeting in July 2022 the Ministers responsible for DRM noted that the peer review reports for Malawi and Namibia have critical information that should be shared with all the Member States. Therefore, the Ministers took a decision directing the Secretariat to, “*urge Member States to volunteer to participate in the next cycle of the Regional Disaster Risk Management Policy Programme Peer Reviews*”. During the same meeting the United Republic of Tanzania and Republic of Zambia volunteered to be peer reviewed in the next cycle.

## 1.4. Current Situation in the Sector

Disaster risks and associated impacts are increasing both in frequency and magnitude, globally and in the SADC region. The SADC Secretariat Disaster Risk Reduction Unit (DRR Unit) coordinates the disaster risk management (DRM) agenda by ensuring the translation of global and regional disaster risk reduction (DRR) instruments into actions that can prevent losses and damage to vulnerable people’s livelihoods and lives in the region. The Regional Economic Communities’ (RECs) risk rating under the INFORM Index[[10]](#footnote-10) has increased from 4.4 in 2020 to 5.7 in 2022, indicating aggravated vulnerability amongst people most at risk, deteriorating coping capacities and augmented exposure to disaster risks.

The currently forecasted El Nino phenomenon is likely to have regative impact in the region. Together with climate change, the effects of either El Nino and La Nina have resulted in increased number droughts and cyclonic systems in the South West Indian Ocean that affect the region. In the 2021/2022 Rainfall Season, the SADC region has experienced six (6) tropical cyclones and storms. The region was first hit by the Moderate Tropical Storm Ana, that made landfall in Madagascar, then Mozambique, and moved on to Malawi and Zimbabwe, affecting over 185,000 people. Tropical depression Dumako, quickly followed, and affected about 23,733 people in Mozambique. Intense Tropical Cyclone Batsirai thereafter made landfall in central and southern Madagascar in February 2022 affecting 116,000 people and claiming over 90 lives. Tropical Cyclone Emnati, made landfall in Madagascar with mild impacts and in March 2022, Severe Tropical Cyclone Gombe made landfall over the coastal area of central Nampula Province in Mozambique affecting over 100,000 people and killing about 15.

In addition, a low pressure system that oscillated in the region causing thunder storms, and damaging winds that brought along heavy rainfall. The Republic of South Arica recorded the highest rainfall ever in the last 60 years, with a major part of the KwaZulu-Natal Province (KZN) receiving over 400 mm of rainfall over a period of 24 hours, bringing about destructive flash flooding that caused collosal damage to infrastructure and led to loss of life. A total of 123,808 people were affected by the floods in KZN, South Africa with 6,342 people displaced, 435 people reported dead and 52 people missing. Initial estimates indicated that nearly 5,672 homes were destroyed and over 9,581 homes have been partially damaged. About 551 structures from the various organs of State were also destroyed, including schools, government offices and hospitals, as well as the Port of Durban, which serves the whole SADC Region.

In 2022/23 Rainfall Season two Tropical Cyclones, Cheneso and Freddy have made landfall in the region. The later brought intense rainfall and winds leading to colossal destruction in several countries in particular Malawi where a record of over 1,000 people were reported dead.

Within the past decade, the region has experienced varying impacts across sectors emanating from disasters including the 2015/2016 El-Nino-induced drought, which resulted in the declaration of a regional disaster and establishment of an El Nino Response Coordination Mechanism. The worst torrential rains and flooding from Tropical Cyclones Idai, Kenneth in 2018/2019 that affected three Member States Malawi, Mozambique and Zimbabwe, wild-fires, 2020/2022 migratory locusts that affected 9 Member States, earth tremors/quakes, and disease outbreaks and epidemics such as malaria, cholera, EBOLA etc. These risks and hazards are escalating in terms of scale and impact and occur simultaneously and across borders.

## 1.5. Related programmes and other donor activities

The Regional Indicative Strategic Development Plan (RISDP) Implementation Plan 2020-2030, a blueprint for building regional integration is premised on the acceleration of regional integration through sustained industrialization development. Industrialization is expected to bring economic and technological transformation aimed at improving the quality of life of the people in the region, robust economic growth, employment creation, gender equality, sustainable food security and sustainable natural resources management amongst other pre-requisites. The regional DRR aspirations are articulated in the RISDP 2020-2030 strategic objectives as a cross-cutting undertaking.

The German Government is funding the SADC Adaptation to Climate Change in Rural Areas in southern Africa (ACCRA) that is implemented by the Coordination Centre for Agriculture Research in Southern Africa (CCARDESA). The programme supports the implementation of climate-relevant elements of the RAP and strengthens the capacity of CCARDESA to act as a knowledge broker, coordinator and moderator for agricultural research and development. Furthermore, the German Government is supporting a Project on DRM through the Global Initiative for Disaster Risk Management III 2021-2023 (GIDRM III)[[11]](#footnote-11). The project aims to increase the capacities of the SADC region to successfully address future uncertainties by mainstreaming the risk-informed development planning paradigm into key sectors. This intervention will be closely undertaken with these projects to promote synergies, avoid duplication and leverage value for money.

Coordination of SADC programmes with ICPs is conducted through the Thematic Groups and the SADC-ICP Dialogue Platform, and for the DRM Sector it is with the Climate Change, Meteorology and Disaster Risk Reduction Thematic Group. The Thematic Group meets annually, and is open to all potential partners with interest in environment, meteorology, climate change and DRR issues including international and regional organizations), Capacity for Disaster Initiative (CADRI), UN agencies (United Nations Development Programme (UNDP), United Nations Environment Programme (UNEP), United Nations Children’s Fund (UNICEF), UN-Habitat, World Food Programme (WFP), Food and Agriculture Organization of the United Nations (FAO), International Organization for Migration (IOM), etc.), regional private sector and professional organizations, development partners, donors supporting or have plans to support SADC’s regional economic integration agenda. The peer reviews will also use the forum to draw lessons learnt for information sharing.

In this regard, the SADC region disaster risk regional context needs to develop the necessary capacities for generation, analysis, and dissemination of information to advance policy and programming for improved risk informed development. It is against this background that the SADC Secretariat would like to engage the services of a technical provider to implement the Regional Disaster Risk Management Policy and Advocacy Programme and facilitate the Peer Review Mechanism which will contribute to strengthening the DRM policy implementation and practice in Member States through improved sharing of information and interaction amongst Members States and peer learning.

# 2. OBJECTIVE, PURPOSE, AND EXPECTED RESULTS

## 2.1. Overall objective

The overall objective of this assignment is to implement the Regional Disaster Risk Management Peer Review Mechanism 2020-2030. This will lead to the development of Peer Review Reports, Lesson learning, and best practice repository and knowledge for Member States based on agreed priority areas of focus that have recommendations aimed at informing and strengthening disaster risk management (DRM) policy operationalization and practice in fourteen (14) remaining Member States, starting with the United Republic of Tanzania, Zambia and Zimbabwe in the first cycle. This will also advance information exchange and learning amongst the Member States and therefore contribute to improvement in DRM programme implementation and contribute to decrease in the impact of disaster risks and losses, in lives and livelihoods, socio-economic infrastructure and environmental assets in the SADC Region.

## 2.2. Purpose (Specific Objective)

The specific objectives of the assignment are to:

1. Conduct multi-year peer review processes against the pillars of the Sendai Framework for all SADC Member States as they volunteer to participate in the Regional Disaster Risk Management in the Peer Review Mechanism annually against national strategies, policies and programmes as well as regional and global instruments.
2. Facilitate lessons learning and experiential sharing on best practices
3. Create a repository of documents and information collected and/or produced in the process of peer review generated from Members States; and
4. Facilitate annual reporting on the implementation of the Sendai Framework.

## 2.3. Results to be achieved by the contractor.

The outputs expected of the assignment are as follows:

1. Inception Report for the assignment.
2. SADC Member States Disaster Risk Management Peer Review Reports.
3. Multi-year Reports on the implementation of the Sendai Framework.
4. Documentation of lessons learnt and best practices; and
5. A repository of documents and information generated from Peer review process.

# 3. ASSUMPTIONS AND RISKS

## 3.1. Assumptions and Risks underlying the project.

**Table 1: Risks**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| # | **Risks** | **Risk level**  **(H/M/L)** | **Mitigating measures** | **Assumptions** |
| 1. | Low participation of  Member States and DRM stakeholders critical for the assignment | M | Project roadmap will be developed with Member States to instill stewardship and support for the project and plans for implementing the assignment will be shared in advance with Member States. | Member States volunteer to be peer reviewed timely, and they actively participate. |

# 4. SCOPE OF THE WORK

## 4.1. General Project description

This assignment shall be home-based. The assignment may follow the suggested approaches:

(i) Preparation and submission of the Inception Report - to involve the following actions:

a) Preparation and submission of an Inception Report within fifteen (15) working days of signing the contract. The Inception Report shall among others; outline the consultants understanding of the Terms of Reference, outputs to be delivered, the methodology and approach to be adopted in undertaking the assignment, stakeholder consultations, the expertise to be utilised, showing clear timelines for the delivery of the outputs in form of a Gantt Chart.

b) Presentation of the Draft Inception Report to the SADC Secretariat and consolidation of the inputs and comments following the presentation; and

c) Submission of a Final Inception Report.

(ii) Desk studies and Stakeholder Consultations

a) Upon approval of the Inception Report, and in consultation with the relevant national DRMOs and other national stakeholders, undertake an in-depth review and analysis of the DRM strategies and interventions in the selected countries. In doing this, the consultant shall review existing national DRM policies, programmes and strategies as well as regional and global instruments including the Regional Indicative Strategic Development Plan (RISDP) 2020-2030, draft SADC DRM Strategy and Action Plan 2022-2030, SADC Preparedness and Response Strategy and Fund 2016-2030, the SADC Resilience Framework 2020-2030, the Africa Strategy for DRR, and its extension through the Programme of Action (PoA) and the Sendai Framework for Disaster Risk Reduction 2015-2030 and its Monitoring and Reporting Framework including the Phase 2021-2025 II PoA Implementation Matrix. The Consultant shall also conduct stakeholder consultations with key informants in the three countries as well some regional and global partners to collate information and consensus on the SADC Peer Review Mechanism PoA aligned targets against which the Peer Review assessment will be conducted for each Member State under assessment.

1. In line with the Regional DRM Policy and Advocacy Programme- Peer Review Mechanism and the Terms of Reference for the Peer Review Process, the consultant will also:
2. Submit the DRM Peer Review Mechanism Report to the Secretariat for inputs.
3. Incorporate the comments and inputs from Member States in the final reports based on validation for submission to the Secretariat.
4. Facilitate the presentation of the reports to Member States for validation.
5. Work with the Member State under assessment to share the information and publication of peer review report in all three official languages.

**Specific focus priority areas for the peer review**

The Consultancy Firm shall deliver annual Peer-Review reports in line with the priorities of the Sendai Framework for Disaster Risk Reduction (2015-2030) as follows:

**Priority 1: Improving the Understanding of disaster risks.**

The objectives of the Strategy Priority are to:

* Strengthen systematic and regular regional and national disaster risk assessments and develop risk profiles to inform early warning alerts, disaster preparedness, response, and early recovery to inform resilience building.
* Enhance capacities and infrastructure for science-based identification, assessment, monitoring and evaluation of risks, hazards, capacities, and vulnerabilities.
* Strengthen forecasting, early warning and prompt action capabilities through improved systems, data collation, analysis, monitoring, and dissemination of risk information (including Indigenous and traditional early warning systems)
* Foster and promote an understanding of risk as a systematic and interconnected phenomenon.

**Priority 2: Strengthening disaster risk governance and institutionalization.**

The Objectives of the Strategic priority are to:

* Achieve policy coherence with global, continental, and regional processes and

frameworks, in particular, development, disaster risk reduction, climate change

adaptation, health, agriculture, urbanisation, conservation, and biodiversity.

* Strengthen the coordination capacity for DRR and risk informed development.

mainstreaming in development and sectoral policies at both regional and Member States levels.

* Promote transboundary disaster risk reduction measures through guiding frameworks and facilitation by the SADC DRR Unit supporting by ICPs and other partners.
* Encourage and support local, national, and regional networks, partnerships, and

centres of excellence.

* Implement a voluntary DRR peer review mechanism for the region.

**Priority 3: Investing in disaster risk reduction for resilience.**

The Objectives of the Strategic priority are to:

* establish and operationalise National and Regional Disaster Preparedness

Funds and ensure sustainable funding.

* Strengthen capacities of Member States to access funds for disaster risk reduction, climate change adaptation and resilience building in line with the Addis Ababa Action Agenda that promotes adequate funding for sustainable development.
* Develop appropriate incentive frameworks to create an enabling environment that induces regional and national private investments for resilience.
* Facilitate improvements in the allocation and use of multi-sector domestic resources investment in DRR for resilience building.
* Promote the adoption of risk transfer products and services for DRR.

**Priority 4: Regional innovation and knowledge management**

The Objectives of the Strategy priority are to:

* Substantially increase the number of regional networks or partnerships for knowledge management and capacity development, including specialized regional centres and networks.
* Enhance the harmonization, adoption and use of innovative technologies and solutions in disaster risk management.
* Promote DRM advocacy, communication, and knowledge management through sharing of geospatial data and information in regional and national IMS and platforms.
* Promote coordination platforms for information sharing and exchange and enhanced learning and knowledge management.

**Geographical area to be covered.**

The technical service provider will be home-based, working in close collaboration with the SADC Secretariat Disaster Risk Reduction Unit (DRR Unit) in Gaborone, Botswana. The Consultant will be required to facilitate the regional engagement with the Secretariat, Member States and International Cooperating Partners (ICPs) and Stakeholders for disaster risk management, through hybrid means (virtually and face-to-face). The validation of the draft reports by Member States, Technical Committee for DRM shall be held virtually, except Committee of Ministers responsible for DRM, that will be held face to face.

**Target groups**

The target group to benefit from the Peer Review Mechanism (PRM) include the SADC Secretariat DRR Unit, SADC Humanitarian and Emergency Operations Centre (SHOC) and 16 Member States of the SADC, Cooperating Partners and vulnerable communities.

## 4.2. Specific work

The Firm engaged under this Service Contract will be the Key Expert. Reference will be carried out through the provision of Protection of Personal Data Policy and Guidelines in the consultation process and development of the:

1. SADC Member States Disaster Risk Management Peer Review Reports.
2. Annual Reports for the implementation of the Regional Disaster Risk Management - Peer Review Mechanism.
3. Documentation of lessons learnt and best practices.
4. Repository of documents and material generated from the Peer review process.

## 4.3. Project management

**Overall responsibility**

The assignment will be undertaken under the overall supervision of the Deputy Executive Secretary responsible for Regional Integration (DES-RI) through the Disaster Risk Reduction Unit Disaster Risk Management Strengthening in SADC (DRMSS) Project Coordinator and the Senior Programme Officer working with the Project Coordinator for the Disaster Risk Management Strengthening in SADC Project (DRMSS) who will coordinate and act as a focal point at the SADC Secretariat. All reports will be reviewed and submitted for approval by the DES-RI.

**Management structure**

The Consultancy Team shall be responsible for the day-to-day operations, and coordination of the consultancy work. The Consulting firm shall work closely with the Senior Programme Officer for the DRR Unit supported by the Project Coordinator-DRMSS Project, with overall guidance provided by the Deputy Executive Secretary responsible for Regional Integration (DES-RI). The DRMSS Project Coordinator and the DRR Unit shall be responsible for the operational day-to-day management and coordination of the consultancy work.

**Facilities to be provided by the contracting authority and/or other parties.**

The consultancy is a home-based assignment.

# 5. LOGISTICS AND TIMING

## 5.1. Location

The technical service provider will be home-based as indicated in Section 4.1.

## 

## 5.2. Start date and period of implementation.

The intended start date is as soon the contract agreement has been signed by both parties and the period of implementation of the contract will be multi-Year for the duration of the Project (2024-2026) from this date.

# 6. REQUIREMENTS

## 6.1. Staff

The consultancy will be undertaken by a Consultancy Team. The required qualifications and skills for the consultancy team shall be as follows:

**(i) Qualification and Skills**

The assignment is expected to be undertaken by a minimum of two (2)-member Consultancy Team (Team Leader and Facilitator) preferably from an institution /organization that advances strategic planning and public policy work in the field of economics, disaster risk reduction, environmental management or related field.

**Key Expert 1: Team Leader**

**Qualification**

1. At least 15 years’ post-graduate, Master’s or PhD in the following fields: economics, disaster risk reduction, environmental management or related field

**Skills and Competencies**

1. Experience working on public policy development and drafting.
2. Experience working in disaster risk management and climate change adaptation.
3. Regional experience will be an added advantage - with an understanding of, and experience with disaster risk management and the humanitarian landscape within the SADC region.

c) Experience with organizational change management and demonstrated work experience in structural re-engineering and institutionalisation processes.

d) Demonstrated experience in working with government partners and other stakeholders in public sector development programs especially in the area of Disaster Risk Management.

e) Knowledge of the DRR global instruments and the AU Programme of Action for the implementation of the Sendai Framework on DRR targets and their translation to effective national Disaster Risk Management efficiencies.

f) Experience in research, Disaster Risk Management policy development, management, and programming-related work.

g) Strong knowledge of project management, monitoring and evaluation or conducting project or programme reviews.

i) Demonstrated experience in other similar assignments.

**(iii) General Professional Experience**

(a) Must be result-oriented leader, a team player, exhibiting high levels of

enthusiasm, tact, diplomacy, and integrity.

(b) Demonstrate excellent interpersonal and professional skills in

interacting with government and development partners.

(c) Excellent report writing capabilities.

(d) Fluent in spoken and written English. Working knowledge of French

and/or Portuguese is an added advantage.

(e) Computer literate with good working knowledge of the standard

Microsoft Office suite of programmes.

(f) Proven experience with virtual conferencing systems (ZOOM,

WEBEX, Microsoft Teams etc.

**(iv) Selection Criteria**

**Table 1:**

|  |  |  |
| --- | --- | --- |
| **No.** | **Criteria Category** | **Total Points for Consultant (%)** |
| 1. | Qualifications (education and professional skills) | 20 |
| 2. | General Professional Experience | 40 |
| 3. | Specific Professional Experience | 40 |

**Key Expert 2: Support Team Member**

**Qualification**

1. At least 10 years’ post-graduate, Master’s or PhD in the following fields: economics, disaster risk reduction, environment management or related field

**Skills and Competencies**

a) Experience working on public policy development and policy and programme reviews

1. Regional experience will be an added advantage - with an understanding of, and experience with disaster risk management and the humanitarian landscape within the SADC region.
2. Demonstrated facilitation of policy dialogue and consensus building.
3. Experience with organisational change management and demonstrated work in structural re-engineering and institutionalisation processes.

d) Demonstrated experience in working with government partners and other stakeholders in public sector development programs especially in the area of Disaster Risk Management.

e) Knowledge of the DRR global instruments and the AU Programme of Action for the implementation of the Sendai Framework on DRR targets and their translation to effective national Disaster Risk Management efficiencies.

f) Experience in research, Disaster Risk Management policy development, management, and programming-related work.

g) Strong knowledge of project management, monitoring and evaluation or conducting project or programme reviews.

i) Demonstrated experience in other similar assignments.

**(iii) General Professional Experience**

(a) Must be result-oriented leader, a team player, exhibiting high levels of

enthusiasm, tact, diplomacy, and integrity.

(b) Demonstrate excellent interpersonal and professional skills in

interacting with government and development partners.

(c) Experience in workshop facilitation and stakeholder engagements

(d) Fluent in spoken and written English. Working knowledge of French

and/or Portuguese is an added advantage.

(e) Computer literate with good working knowledge of the standard

Microsoft Office suite of programmes.

(g) Proven experience with virtual conferencing systems (ZOOM,

WEBEX, Microsoft Teams etc.

**(iv) Selection Criteria**

**Table 2: Selection criteria**

|  |  |  |
| --- | --- | --- |
| **No.** | **Criteria Category** | **Total Points for Consultant (%)** |
| 1. | Qualifications (education and professional skills) | 20 |
| 2. | General Professional Experience | 50 |
| 3. | Specific Professional Experience | 30 |

**6.2.1 Support staff and backstopping.**

As appropriate, the contractor will provide support services for the implementation of the consultancy, during the implementation of the contract. Backstopping and support staff costs are included in the global price.

**6.2 Office accommodation**

Office accommodation is not required.

**6.3 Facilities to be provided by the contractor.**

All secretarial services will be provided by the Client.

**6.4 Equipment**

No equipment will be purchased under the contract.

## 6.5. Incidental expenditure

This consultancy will be conducted in hybrid mode, face to face and virtually, the Consultant shall take advantage of some of the scheduled meetings within the SADC Secretariat

## 6.6. Expenditure verification

There will be no expenditure verification for this project.

# 7. REPORTS

## 7.1. Reporting requirements

Working closely with the DRR Unit and the DRMSS Project Coordinator, the consultancy firm will submit reports and outputs specified in Table 3. The consultancy and production of the report should be done in a space of 7 months spread over a financial year. The contractor shall provide the following reports:

**Table 3: Indicative Timetable for the Assignment**

|  |  |  |
| --- | --- | --- |
| **No.** | **Activity** | **Timeline (Working Days)** |
| 1. | Preparation and submission and presentation of the Inception Report. | 15 days after signing of contract |
| 2. | Drafting of multi-Year workplan, review and approval by SADC DRR Unit | 30 |
| 3. | Desk review of strategic documents and literature | 30 |
| 4. | Stakeholder mapping and consultations, presentation of the map and approval by the SADC DRR Unit, review and approval of stakeholder consultation report | 30 |
| 5. | Conduct the DRM Peer Reviews for SADC Member States including: | 30 |
| 1. Working with the national counter parts undertake the situational assessment for DRM Policy development and practices for the country under review. |
| 1. Facilitate the national consultations based on assessing practice against the PoA selected targets (Sendai Framework for Disaster Risk Reduction) and draw the recommendations in a report. |
| 1. Work with the National DRM Focal Point and Peer Reviewers to draft the review report for the Member State.   Submit the review report to the Secretariat for approval. |
| 1. Prepare Peer Review Report presentation for Validation by Member States |
| 6. | Present Peer review report to the DRM Technical Working Group, and take onboard inputs and comments | 30 |
| 7. | Peer Review report presented to the Ministers of DRM for endorsement | 30 |
|  | **TOTAL** | **180 Days** |

**Payment schedule is related to reports and their approvals, as follows:**

20% of the contract price shall be paid upon submission and approval of the Inception report.

60% of the contract price shall be paid upon submission and approval of the Peer review programme

20% of the contract price shall be paid upon submission of final  validated  Peer review programme

* 1. **Submission & approval of reports**

All reports shall be submitted in 2 copies in the English language, printed on A4 paper. The Final Report (2 copies including all supporting documentation) shall also be provided in an electronic format appropriate to the SADC Secretariat.

The Task Manager shall give approval of progress reports. The Project Manager will provide comments on the reports within 30 days of receipt. If no comments are received within this period, then the report will be deemed to be approved.

# 8. MONITORING AND EVALUATION

## Definition of indicators

On a day-to-day basis, the Consultant will work with the Project Manager, and under the oversight of the Head of Unit and the Deputy Executive Secretary RI. The work and milestones will be agreed and monitored according to the workplan submitted with the final Inception report

# 8. BUDGET

The budget for the assignment is US$26,697.00**.**

# Section 6. Standard Form of Contract

STANDARD CONTRACT FOR SERVICES

**CONSULTANCY FOR THE FACILITATION OF THE**

**MULTI-YEAR DISASTER RISK MANAGEMENT PEER REVIEW MECHANISM FOR THE SADC REGION**

**CONTRACT NUMBER: SADC/3/5/2/352.**

**BETWEEN SADC Secretariat(“the Contracting Authority”)**

**AND**

***(*“the Contractor”)**

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**I. Form of Contract**

**The Southern African Development Community (SADC) Secretariat***,* having its principal place of business at the SADC Headquarters, Plot No. 54385, Central Business District, Private Bag 0095, Gaborone, Botswana (hereinafter referred to as the “Procuring Entity”),

and

**XXXXXXXXXXXXXXXXX** with registered business at XXXXXXXXXX (hereinafter called the “Contractor”).

WHEREAS

(a) the Contracting Authority has requested the Contractor to provide certain services as defined in this Contract (hereinafter called the “Services”);

(b) the Contractor, having represented to the Contracting Authority that he has the required professional skills, and personnel and technical resources, has agreed to provide the Services on the terms and conditions set forth in this Contract;

NOW THEREFORE the parties hereto hereby agree as follows:

1. The following documents attached hereto shall be deemed to form an integral part of this Contract, in order of precedence:

(a) The Special Conditions of Contract;

(b) The General Conditions of Contract;

(c) The following Appendices:

Appendix A: Terms of Reference

Appendix B: Technical Proposal

Appendix C: Financial Proposal

2. The mutual rights and obligations of the Contracting Authority and the Contractor shall be as set forth in the Contract, in particular:

(a) the Contractors shall carry out the Services in accordance with the provisions of the Contract; and

(b) the Contracting Authority shall make payments to the Contractor accordance with the provisions of the Contract.

IN WITNESS WHEREOF, the Parties hereto have caused this Contract to be signed in their respective names as of the day and year first above written.

For and on behalf of SADC Secretariat

Date:

For and on behalf of xxxxxxxxxxxxxxx

Date:

xxxxxxxxxxxxxxxx

**II. General Conditions of Contract**

**1. General Provisions**

|  |  |
| --- | --- |
| **1.1 Definitions** | Unless the context otherwise requires, the following terms whenever used in this Contract have the following meanings:  (a) “Applicable Law” means the laws and any other instruments having the force of law in the Contracting Authority’s country, or in such other country as may be specified in the Special Conditions of Contract (SC), as they may be issued and in force from time to time.  (b) “Contracting Authority ” means legal entity named in the SC who procures the Services described in Appendix A hereto from the Contractor.  (c) “Contractor” means any private or public entity named in the SC that will provide the Services to the Contracting Authority under the Contract.  (d) “Contract” means the Contract signed by the Parties and all the attached documents listed in its Clause 1, that is these General Conditions (GC), the Special Conditions (SC), and the Appendices.  (e) “Day” means calendar day.  (f) “Effective Date” means the date on which this Contract comes into force and effect pursuant to Clause GC 2.1.  (h) “GC” means these General Conditions of Contract.  (k) “Member” means any of the entities that make up the joint venture/consortium/association; and “Members” means all these entities.  (l) “Party” means the Contracting Authority or the Contractor, as the case may be, and “Parties” means both of them.  (m) “Personnel” means professionals and support staff provided by the Contractors or by any Sub-Contractors and assigned to perform the Services or any part thereof; “Foreign Personnel” means such professionals and support staff who at the time of being so provided had their domicile outside the Contracting Authority’s country; “Local Personnel” means such professionals and support staff who at the time of being so provided had their domicile inside the Contracting Authority’s country; and “Key Personnel” means the Personnel referred to in Clause GC 4.2(a).  (n) “Reimbursable expenses” means all assignment-related costs other than Contractor’s remuneration.  (o) “SC” means the Special Conditions of Contract by which the GC may be amended or supplemented.  (p) “Services” means the work to be performed by the Contractor pursuant to this Contract, as described in Appendix A hereto.  (q) “Sub-Contractors” means any person or entity to whom/which the Contractor subcontracts any part of the Services.  (r) “Third Party” means any person or entity other than the Contracting Authority, the Contracting Authority, the Contractor or a Sub-Contractor.  (s) “In writing” means communicated in written form with proof of receipt. |
| **1.2 Relationship Between the Parties** | Nothing contained herein shall be construed as establishing a relationship of master and servant or of principal and agent as between the Contracting Authority and the Contractor. The Contractor, subject to this Contract, has complete charge of Personnel and Sub-Contractors, if any, performing the Services and shall be fully responsible for the Services performed by them or on their behalf hereunder. |
| **1.3 Law Governing Contract** | This Contract, its meaning and interpretation, and the relation between the Parties shall be governed by the Applicable Law. |
| **1.4 Language** | This Contract has been executed in the English language which shall be the binding and controlling language for all matters relating to the meaning or interpretation of this Contract. |
| **1.5 Headings** | The headings shall not limit, alter or affect the meaning of this Contract. |
| **1.6 Notices** | 1.6.1 Any notice, request or consent required or permitted to be given or made pursuant to this Contract shall be in writing. Any such notice, request or consent shall be deemed to have been given or made when delivered in person to an authorized representative of the Party to whom the communication is addressed, or when sent to such Party at the address **specified in the SC.**  1.6.2 A Party may change its address for notice hereunder by giving the other Party notice in writing of such change to the address **specified in the SC.** |
| **1.7 Location** | The Services shall be performed at such locations as are specified in Appendix A hereto and, where the location of a particular task is not so specified, at such locations, whether in the Contracting Authority’s country or elsewhere, as the Contracting Authority may approve. |
| **1.8 Authority of Member in Charge** | In case the Contractor consists of a joint venture/consortium/ association of more than one entity, the Members hereby authorize the entity **specified in the SC** to act on their behalf in exercising all the Contractor’s rights and obligations towards the Contracting Authority under this Contract, including without limitation the receiving of instructions and payments from the Contracting Authority. |
| **1.9 Authorized Representatives** | * + 1. Any action required or permitted to be taken, and any document required or permitted to be executed under this Contract by the Contracting Authority or the Contractor may be taken or executed by the officials **specified in the SC.**     2. The Contracting Authority’s authorized representative shall be called Task Manager. The Task Manager may exercise the authority attributable to him/her in the **as specified in the SC.**     3. The Task Manager shall have no authority to amend the Contract.     4. The Contractor authorized representative shall be called Project Director and his/her may exercise the authority attributable to him/her in the **as specified in the SC.**     5. The either Party shall promptly inform the other of any change of their authorized representative of any change to the authority attributed to their authorized representative. |
| **1.10 Taxes and Duties** | The Contractor, Sub-Contractors and Personnel shall pay such indirect taxes, duties, fees and other impositions levied under the Applicable Law **as specified in the SC**. |
| **1.11 Fraud and Corruption** | If the Contracting Authority determines that the Contractor and/or their Sub-Contractors has engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices, in competing for or in executing the Contract, then the Contracting Authority may, after giving 14 days notice to the Contractor, terminate the Contractor's employment under the Contract, and the provisions of Clause 2 shall apply as if such expulsion had been made under Sub-Clause 2.9.1(d).  Should any personnel of the Contractor be determined to have engaged in corrupt, fraudulent, collusive, coercive, or obstructive practice during the execution of the Contract, then that personnel shall be removed in accordance with Sub-Clause 4.5. |
| **1.11.1 Definitions** | For the purposes of this Sub-Clause, the terms set-forth below are defined as follows:  (i) “corrupt practice”[[12]](#footnote-12) is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;  (ii) “fraudulent practice”[[13]](#footnote-13) is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation;  (iii) “collusive practice”[[14]](#footnote-14) is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;  (iv) “coercive practice”[[15]](#footnote-15) is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;  (v) “obstructive practice” is  (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a SADC Secretariat investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or  (bb) acts intended to materially impede the exercise of the SADC Secretariat’s inspection and audit rights provided for under Clause 3.6. |
| **1.11.2 Commis­sions and Fees** | The Contracting Authority will require the successfulContractors to disclose any commissions or fees that may have been paid or are to be paid to agents, representatives, or commission agents with respect to the selection process or execution of the contract. The information disclosed must include at least the name and address of the agent, representative, or commission agent, the amount and currency, and the purpose of the commission or fee. |

**2. Commencement, Completion, Modification and Termination of Contract**

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| **2.1 Effectiveness of Contract** | This Contract shall come into force and effect on the date (the “Effective Date”) of the Contracting Authority’s notice to the Contractor instructing the Contractor to begin carrying out the Services. This notice shall confirm that the effectiveness conditions, if any, **listed in the SC** have been met. |
| **2.2 Termination of Contract for Failure to Become Effective** | If this Contract has not become effective within such time period after the date of the Contract signed by the Parties as specified in the SC, either Party may, by not less than twenty one (21) days written notice to the other Party, declare this Contract to be null and void, and in the event of such a declaration by either Party, neither Party shall have any claim against the other Party with respect hereto. |
| **2.3 Commencement of Services** | The Contractor shall begin carrying out the Services not later than the number of days after the Effective Date **specified in the SC**. |
| **2.4 Expiration of Contract** | Unless terminated earlier pursuant to Clause GC 2.9 hereof, this Contract shall expire at the end of such time period after the Effective Date as **specified in the SC**. |
| **2.5 Entire Agreement** | This Contract contains all covenants, stipulations and provisions agreed by the Parties. No agent or representative of either Party has authority to make, and the Parties shall not be bound by or be liable for, any statement, representation, promise or agreement not set forth herein. |
| **2.6 Modifications , or Variations** | * + 1. Any modification or variation of the terms and conditions of this Contract, including any modification or variation of the scope of the Services, may only be made by written agreement between the Parties. Pursuant to Clause GC 7.2 here of, however, each Party shall give due consideration to any proposals for modification or variation made by the other Party.     2. Substantial modifications to the contract, including modifications of the General or Special Conditions of the contract, changes in the scope or the duration of the contract, to the total contract amount and replacement of Key Experts, must be made by means of an addendum .If the request for an amendment comes from the Contractor, the latter must submit such a request to the Contracting Authority at least 30 days before the amendment is intended to enter into force, except in cases which are duly substantiated by the Contractor and accepted by the Contracting Authority.     3. However, where the amendment does not affect the basic purpose of the contract and, for a time based contract, the financial impact is limited to a transfer within the remuneration or between the remuneration and the provision for reimbursable expenses involving a variation of less than 15% of the original amount (or as modified by addendum) for the categories of expense where the money was taken from, the Task Manager shall have the power to order any variation to any part of the services necessary for the proper implementation of the tasks, without changing the object or scope of the contract. Such variations may include additions, omissions, substitutions, changes in quality, quantity, specified sequence, method or timing of performance of the services, changes in contact details and reporting requirements.     4. Prior to any administrative order for variation, the Task Manager shall notify the Contractor of the nature and form of such variation. As soon as possible, after receiving such notice, the Contractor shall submit to the Task Manager a written proposal containing:   (a) a description of the service to be performed or the measures to be taken and a programme for implementation of the tasks; and  (b) any necessary modifications to the programme of implementation of the tasks or to any of the Contractor's obligations under the contract; and  (c) For a time based contract, any adjustment to the contract value in accordance with the following principles:  (1) where the task is of similar character and executed under similar conditions to an item priced in the budget breakdown the equivalent numbers of working days shall be valued at the fee rates contained therein;  (2) where the task is not of a similar character or is not executed under similar conditions, the fee rates in the contract shall be applied to the estimated numbers of working days so far as is reasonable, failing which, a fair estimation shall be made by the Task Manager;  (3) where a variation is necessitated by a default or breach of contract by the Contractor, any additional cost attributable to such variation shall be borne by the Contractor.   * + 1. Following the receipt of the Contractor's proposal, the Task Manager shall decide as soon as possible whether or not the variation shall be carried out. If the Task Manager decides that the variation shall be carried out he/she shall issue the administrative order stating that the variation shall be carried out under the conditions given in the Contractor's proposal or as modified by the Task Manager in accordance with pursuant to Clause GC 2.6.4.     2. On receipt of the administrative order requesting the variation, the Contractor shall proceed to carry out the variation and be bound by these General Conditions in so doing as if such variation were stated in the contract.     3. No amendment shall be made retroactively except in cases which are duly substantiated by the Contractor and accepted by the Contracting Authority.     4. Any change to the contract which has not been made in the form of an administrative order or an addendum or in accordance with this Clause shall be considered null and void. |
| **2.7 Force Majeure** |  |
| **2.7.1 Definition** | (a) For the purposes of this Contract, “Force Majeure” means an event which is beyond the reasonable control of a Party, is not foreseeable, is unavoidable, and which makes a Party’s performance of its obligations hereunder impossible or so impractical as reasonably to be considered impossible in the circumstances, and includes, but is not limited to, war, riots, civil disorder, earthquake, fire, explosion, storm, flood or other adverse weather conditions, strikes, lockouts or other industrial action (except where such strikes, lockouts or other industrial action are within the power of the Party invoking Force Majeure to prevent), confiscation or any other action by Contracting Authority agencies.  (b) Force Majeure shall not include (i) any event which is caused by the negligence or intentional action of a Party or such Party’s Sub-Contractors or agents or employees, nor (ii) any event which a diligent Party could reasonably have been expected both to take into account at the time of the conclusion of this Contract, and avoid or overcome in the carrying out of its obligations hereunder.  (c) Force Majeure shall not include insufficiency of funds or failure to make any payment required hereunder. |
| **2.7.2 No Breach of Contract** | The failure of a Party to fullfil any of its obligations hereunder shall not be considered to be a breach of, or default under, this Contract insofar as such inability arises from an event of Force Majeure, provided that the Party affected by such an event has taken all reasonable precautions, due care and reasonable alternative measures, all with the objective of carrying out the terms and conditions of this Contract. |
| **2.7.3 Measures to be Taken** | (a) A Party affected by an event of Force Majeure shall continue to perform its obligations under the Contract as far as is reasonably practical, and shall take all reasonable measures to minimize the consequences of any event of Force Majeure.  (b) A Party affected by an event of Force Majeure shall notify the other Party of such event as soon as possible, and in any case not later than fourteen (14) days following the occurrence of such event, providing evidence of the nature and cause of such event, and shall similarly give written notice of the restoration of normal conditions as soon as possible.  (c) Any period within which a Party shall, pursuant to this Contract, complete any action or task, shall be extended for a period equal to the time during which such Party was unable to perform such action as a result of Force Majeure.  (d) During the period of their inability to perform the Services as a result of an event of Force Majeure, the Contractor, upon instructions by the Contracting Authority, shall either:   1. demobilize, in which case the Contractor shall be reimbursed for additional costs they reasonably and necessarily incurred, and, if required by the Contracting Authority, in reactivating the Services; or 2. continue with the Services to the extent possible, in which case the Contractor shall continue to be paid under the terms of this Contract and be reimbursed for additional costs reasonably and necessarily incurred.   (e) In the case of disagreement between the Parties as to the existence or extent of Force Majeure, the matter shall be settled according to Clause GC 8. |
| **2.8 Suspension** | The Contracting Authority may, by written notice of suspension to the Contractor, suspend all payments to the Contractor hereunder if the Contractor fails to perform any of its obligations under this Contract, including the carrying out of the Services, provided that such notice of suspension (i) shall specify the nature of the failure, and (ii) shall request the Contractor to remedy such failure within a period not exceeding thirty (30) days after receipt by the Contractor of such notice of suspension. |
| **2.9 Termination** |  |
| **2.9.1 By the**  **Contracting Authority** | The Contracting Authority may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (g) of this Clause GC 2.9.1. In such an occurrence the Contracting Authority shall give a not less than thirty (30) days’ written notice of termination to the Contractors, and sixty (60) days’ in case of the event referred to in (g).  (a) If the Contractor fails to remedy a failure in the performance of its obligations hereunder, as specified in a notice of suspension pursuant to Clause GC 2.8 hereinabove, within thirty (30) days of receipt of such notice of suspension or within such further period as the Contracting Authority may have subsequently approved in writing.  (b) If the Contractor becomes (or, if the Contractor consists of more than one entity, if any of its Members becomes) insolvent or bankrupt or enter into any agreements with their creditors for relief of debt or take advantage of any law for the benefit of debtors or go into liquidation or receivership whether compulsory or voluntary.  (c) If the Contractor fails to comply with any final decision reached as a result of arbitration proceedings pursuant to Clause GC 8 hereof.  (d) If the Contractor, in the judgment of the Contracting Authority, has engaged in corrupt or fraudulent practices in competing for or in executing this Contract.  (e) If the Contractor submits to the Contracting Authority a false statement which has a material effect on the rights, obligations or interests of the Contracting Authority.  (f) If, as the result of Force Majeure, the Contractor is unable to perform a material portion of the Services for a period of not less than sixty (60) days.  (g) If the Contracting Authority, in its sole discretion and for any reason whatsoever, decides to terminate this Contract. |
| **2.9.2 By the Contractor** | The Contractor may terminate this Contract, by not less than thirty (30) days’ written notice to the Contracting Authority, in case of the occurrence of any of the events specified in paragraphs (a) through (d) of this Clause GC 2.9.2.  (a) If the Contracting Authority fails to pay any money due to the Contractor pursuant to this Contract and not subject to dispute pursuant to Clause GC 8 hereof within forty-five (45) days after receiving written notice from the Contractor that such payment is overdue.  (b) If, as the result of Force Majeure, the Contractor is unable to perform a material portion of the Services for a period of not less than sixty (60) days.  (c) If the Contracting Authority fails to comply with any final decision reached as a result of arbitration pursuant to Clause GC 8 hereof.  (d) If the Contracting Authority is in material breach of its obligations pursuant to this Contract and has not remedied the same within forty-five (45) days (or such longer period as the Contractor may have subsequently approved in writing) following the receipt by the Contracting Authority of the Contractor’s notice specifying such breach. |
| **2.9.3 Cessation of Rights and Obligations** | Upon termination of this Contract pursuant to Clauses GC 2.2 or GC 2.9 hereof, or upon expiration of this Contract pursuant to Clause GC 2.4 hereof, all rights and obligations of the Parties hereunder shall cease, except (i) such rights and obligations as may have accrued on the date of termination or expiration, (ii) the obligation of confidentiality set forth in Clause GC 3.3 hereof, (iii) the Contractor’s obligation to permit inspection, copying and auditing of their accounts and records set forth in Clause GC 3.6 hereof, and (iv) any right which a Party may have under the Applicable Law. |
| **2.9.4 Cessation of Services** | Upon termination of this Contract by notice of either Party to the other pursuant to Clauses GC 2.9.1 or GC 2.9.2 hereof, the Contractor shall, immediately upon dispatch or receipt of such notice, take all necessary steps to bring the Services to a close in a prompt and orderly manner and shall make every reasonable effort to keep expenditures for this purpose to a minimum. With respect to documents prepared by the Contractor and equipment and materials furnished by the Contracting Authority, the Contractor shall proceed as provided, respectively, by Clauses GC 3.9 or GC 3.10 hereof. |
| **2.9.5 Payment upon Termination** | Upon termination of this Contract pursuant to Clauses GC 2.9.1 or GC 2.9.2 hereof, the Contracting Authority shall make the following payments to the Contractor:  (a) remuneration pursuant to Clause GC 6 hereof for Services satisfactorily performed prior to the effective date of termination, and reimbursable expenditures pursuant to Clause GC 6 hereof for expenditures actually incurred prior to the effective date of termination; and  (b) except in the case of termination pursuant to paragraphs (a) through (e) of Clause GC 2.9.1 hereof, reimbursement of any reasonable cost incidental to the prompt and orderly termination of this Contract including the cost of the return travel of the Personnel and their eligible dependents. |
| **2.9.6 Disputes about Events of Termination** | If either Party disputes whether an event specified in paragraphs (a) through (f) of Clause GC 2.9.1 or in Clause GC 2.9.2 hereof has occurred, such Party may, within forty-five (45) days after receipt of notice of termination from the other Party, refer the matter to Clause GC 8 hereof, and this Contract shall not be terminated on account of such event except in accordance with the terms of any resulting arbitral award. |

**3. Obligations of the Contractor**

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| **3.1 General** |  |
| **3.1.1 Standard of Performance** | The Contractor shall perform the Services and carry out their obligations hereunder with all due diligence, efficiency and economy, in accordance with generally accepted professional standards and practices, and shall observe sound management practices, and employ appropriate technology and safe and effective equipment, machinery, materials and methods. The Contractor shall always act, in respect of any matter relating to this Contract or to the Services, as faithful adviser to the Contracting Authority, and shall at all times support and safeguard the Contracting Authority’s legitimate interests in any dealings with Sub-Contractors or Third Parties. |
| **3.1.2 Law Governing Services** | The Contractor shall perform the Services in accordance with the Applicable Law and shall take all practicable steps to ensure that any Sub-Contractors, as well as the Personnel of the Contractor and any Sub-Contractors, comply with the Applicable Law. The Contracting Authority shall notify the Contractor in writing of relevant local customs, and the Contractor shall, after such notification, respect such customs. |
| **3.2 Conflict of Interests** | The Contractor shall hold the Contracting Authority’s interests paramount, without any consideration for future work, and strictly avoid conflict with other assignments or their own corporate interests. |
| **3.2.1 Contractor Not to Benefit from Commis-sions, Discounts, etc.** | (a) The payment of the Contractor pursuant to Clause GC 6 hereof shall constitute the Contractor’s only payment in connection with this Contract and, subject to Clause GC 3.2.2 hereof, the Contractor shall not accept for its own benefit any trade commission, discount or similar payment in connection with activities pursuant to this Contract or in the discharge of its obligations hereunder, and the Contractor shall use its best efforts to ensure that any Sub-Contractors, as well as the Personnel and agents of either of them, similarly shall not receive any such additional payment.  (b) Furthermore, if the Contractor, as part of the Services, has the responsibility of advising the Contracting Authority on the procurement of goods, works or services, the Contractor shall comply with the Bank’s applicable procurement guidelines, and shall at all times exercise such responsibility in the best interest of the Contracting Authority. Any discounts or commissions obtained by the Contractor in the exercise of such procurement responsibility shall be for the account of the Contracting Authority. |
| **3.2.2 Contractor and Affiliates Not to Engage in Certain Activities** | The Contractor agrees that, during the term of this Contract and after its termination, the Contractor and any entity affiliated with the Contractor, as well as any Sub-Contractors and any entity affiliated with such Sub-Contractors, shall be disqualified from providing goods, works or services (other than consulting services) resulting from or directly related to the Contractor’s Services for the preparation or implementation of the project. |
| **3.2.3 Prohibition of Conflicting Activities** | The Contractor shall not engage, and shall cause their Personnel as well as their Sub-Contractors and their Personnel not to engage, either directly or indirectly, in any business or professional activities that would conflict with the activities assigned to them under this Contract. |
| **3.3 Confidentiality** | Except with the prior written consent of the Contracting Authority, the Contractor and the Personnel shall not at any time communicate to any person or entity any confidential information acquired in the course of the Services, nor shall the Contractor and the Personnel make public the recommendations formulated in the course of, or as a result of, the Services. |
| **3.4 Liability of the Contractor** | Subject to additional provisions, if any, set forth in the SC, the Contractors’ liability under this Contract shall be provided by the Applicable Law. |
| **3.5 Insurance to be Taken out by the Contractor** | The Contractor (i) shall take out and maintain, and shall cause any Sub-Contractors to take out and maintain, at their (or the Sub-Contractors’, as the case may be) own cost but on terms and conditions approved by the Contracting Authority, insurance against the risks, and for the coverages specified in the SC, and (ii) at the Contracting Authority’s request, shall provide evidence to the Contracting Authority showing that such insurance has been taken out and maintained and that the current premiums therefore have been paid. |
| **3.6 Accounting, Inspection and Auditing** | The Contractor shall permit the SADC Secretariat and/or persons appointed by the SADC Secretariat to inspect its accounts and records as well as those of its Sub-Contractors relating to the performance of the Contract, and to have such accounts and records audited by auditors appointed by the SADC Secretariat if required by the SADC Secretariat. The Contractor’s attention is drawn to Clause 1.11.1 which provides, inter alia, that acts intended to materially impede the exercise of the SADC Secretariat’s inspection and audit rights provided for under Clause 3.6 constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility under the Contractor Guidelines). |
| **3.7 Contractor’s Actions Requiring Contracting Authority’s Prior Approval** | The Contractor shall obtain the Contracting Authority’s prior approval in writing before taking any of the following actions:  (a) Any change or addition to the Personnel listed in Appendix B.  (b) Subcontracts: the Contractor may subcontract work relating to the Services to an extent and with such experts and entities as may be approved in advance by the Contracting Authority. Notwithstanding such approval, the Contractor shall retain full responsibility for the Services. In the event that any Sub-Contractors are found by the Contracting Authority to be incompetent or incapable in discharging assigned duties, the Contracting Authority may request the Contractor to provide a replacement, with qualifications and experience acceptable to the Contracting Authority, or to resume the performance of the Services itself.  (c) Any other action that may be specified **in the SC.** |
| **3.8 Reporting Obligations** | The Contractor shall submit to the Contracting Authority the reports and documents specified in Appendix A hereto, in the form, in the numbers and within the time periods set forth in the said Appendix. Final reports shall be delivered in CD ROM in addition to the hard copies specified in said Appendix. |
| **3.9 Documents Prepared by the Contractor to be the Property of the Contracting Authority** | All plans, drawings, specifications, designs, reports, other documents and software prepared by the Contractor for the Contracting Authority under this Contract shall become and remain the property of the Contracting Authority, and the Contractor shall, not later than upon termination or expiration of this Contract, deliver all such documents to the Contracting Authority, together with a detailed inventory thereof. The Contractor may retain a copy of such documents and software, and use such software for their own use with prior written approval of the Contracting Authority. If license agreements are necessary or appropriate between the Contractor and third parties for purposes of development of any such computer programs, the Contractor shall obtain the Contracting Authority’s prior written approval to such agreements, and the Contracting Authority shall be entitled at its discretion to require recovering the expenses related to the development of the program(s) concerned. Other restrictions about the future use of these documents and software, if any, **shall be specified in the SC.** |
| **3.10 Equipment, Vehicles and Materials Furnished by the Contracting Authority** | Equipment, vehicles and materials made available to the Contractor by the Contracting Authority, or purchased by the Contractor wholly or partly with funds provided by the Contracting Authority, shall be the property of the Contracting Authority and shall be marked accordingly. Upon termination or expiration of this Contract, the Contractor shall make available to the Contracting Authority an inventory of such equipment, vehicles and materials and shall dispose of such equipment and materials in accordance with the Contracting Authority’s instructions. While in possession of such equipment, vehicles and materials, the Contractor, unless otherwise instructed by the Contracting Authority in writing, shall insure them at the expense of the Contracting Authority in an amount equal to their full replacement value. |
| **3.11 Equipment and Materials Provided by the Contractors**    **3.12 Liability for Personal Data Breach** | Equipment or materials brought into the Contracting Authority’s country by the Contractor and the Personnel and used either for the Project or personal use shall remain the property of the Contractor or the Personnel concerned, as applicable.  3.12.1 The Contractor shall indemnify or hold harmless, the Contracting Authority, from and against all loss, costs, harm, claims, fines, group actions, liabilities, damages, expenses (including legal fees) suffered or incurred by the Contracting Authority or for which the Contracting Authority may become liable due to any failure by the Contractor or its personnel to lawfully process Personal Data under the Contract.  3.12.2 The aggregate liability of the Contractor in respect of the indemnity set out in Paragraph 3.12.1 above shall in no event exceed the total Contract Price.  3.12.3 The Contractor shall adhere to data protection requirements as set forth in the SCC. |

**4. Contractors’ Personnel and Sub-Contractors**

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| **4.1 General** | The Contractor shall employ and provide such qualified and experienced Personnel and Sub-Contractors as are required to carry out the Services. |
| **4.2 Description of Personnel** | (a) The title, agreed job description, minimum qualification and estimated period of engagement in the carrying out of the Services of each of the Contractor’s Key Personnel are described in Appendix B. If any of the Key Personnel has already been approved by the Contracting Authority, his/her name is listed as well.  (b) If required to comply with the provisions of Clause GC 3.1.1 hereof, adjustments with respect to the estimated periods of engagement of Key Personnel set forth in Appendix B may be made by the Contractor by written notice to the Contracting Authority, provided (i) that such adjustments shall not alter the originally estimated period of engagement of any individual by more than 10% or one week, whichever is larger, and (ii) that the aggregate of such adjustments shall not cause payments under this Contract to exceed the ceilings set forth in Clause GC 6.1(b) of this Contract. Any other such adjustments shall only be made with the Contracting Authority’s written approval.  (c) If additional work is required beyond the scope of the Services specified in Appendix A, the estimated periods of engagement of Key Personnel set forth in Appendix B may be increased by agreement in writing between the Contracting Authority and the Contractor. In case where payments under this Contract exceed the ceilings set forth in Clause GC 6.1(b) of this Contract, this will be explicitly mentioned in the agreement. |
| **4.3 Approval of Personnel** | The Key Personnel and Sub-Contractors listed by title as well as by name in Appendix B are hereby approved by the Contracting Authority. In respect of other Personnel which the Contractor proposes to use in the carrying out of the Services, the Contractor shall submit to the Contracting Authority for review and approval a copy of their Curricula Vitae (CVs). If the Contracting Authority does not object in writing (stating the reasons for the objection) within twenty-one (21) days from the date of receipt of such CVs, such Personnel shall be deemed to have been approved by the Contracting Authority. |
| **4.4 Working Hours, Overtime, Leave, etc.** | (a) Working hours and holidays for Key Personnel are set forth in Appendix B hereto. To account for travel time, Foreign Personnel carrying out Services inside the Contracting Authority’s country shall be deemed to have commenced, or finished work in respect of the Services such number of days before their arrival in, or after their departure from the Contracting Authority’s country as is specified in Appendix B hereto.  (b) The Key Personnel shall not be entitled to be paid for overtime nor to take paid sick leave or vacation leave except as specified in Appendix B hereto, and except as specified in such Appendix, the Contractor’s remuneration shall be deemed to cover these items. All leave to be allowed to the Personnel is included in the staff-months of service set forth in Appendix B. Any taking of leave by Personnel shall be subject to the prior approval by the Contractor who shall ensure that absence for leave purposes will not delay the progress and adequate supervision of the Services. |
| **4.5 Removal and/or Replacement of Personnel** | (a) Except as the Contracting Authority may otherwise agree, no changes shall be made in the Personnel. If, for any reason beyond the reasonable control of the Contractor, such as retirement, death, medical incapacity, among others, it becomes necessary to replace any of the Personnel, the Contractor shall forthwith provide as a replacement a person of equivalent or better qualifications.  (b) If the Contracting Authority (i) finds that any of the Personnel has committed serious misconduct or has been charged with having committed a criminal action, or (ii) has reasonable cause to be dissatisfied with the performance of any of the Personnel, then the Contractor shall, at the Contracting Authority’s written request specifying the grounds therefore, forthwith provide as a replacement a person with qualifications and experience acceptable to the Contracting Authority.  (c) Any of the Personnel provided as a replacement under Clauses (a) and (b) above, as well as any reimbursable expenditures (including expenditures due to the number of eligible dependents) the Contractors may wish to claim as a result of such replacement, shall be subject to the prior written approval by the Contracting Authority. The rate of remuneration applicable to a replacement person will be obtained by multiplying the rate of remuneration applicable to the replaced person by the ratio between the monthly salary to be effectively paid to the replacement person and the average salary effectively paid to the replaced person in the period of six months prior to the date of replacement. Except as the Contracting Authority may otherwise agree, (i) the Contractor shall bear all additional travel and other costs arising out of or incidental to any removal and/or replacement, and (ii) the remuneration to be paid for any of the Personnel provided as a replacement shall not exceed the remuneration which would have been payable to the Personnel replaced. |
| **4.6 Resident Project Director** | **If required by the SC**, the Contractor shall ensure that at all times during the Contractor’s performance of the Services in the Contracting Authority’s country a resident Project Director, acceptable to the Contracting Authority, shall take charge of the performance of such Services. |

**5. Obligations of the Contracting Authority**

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| **5.1 Assistance and Exemptions** | **Unless otherwise specified in the SC**, the Contracting Authority shall use its best efforts to ensure that the Contracting Authority shall:  (a) Provide the Contractor, Sub-Contractors and Personnel with work permits and such other documents as shall be necessary to enable the Contractor, Sub-Contractors or Personnel to perform the Services.  (b) Arrange for the Personnel and, if appropriate, their eligible dependents to be provided promptly with all necessary entry and exit visas, residence permits, exchange permits and any other documents required for their stay in the Contracting Authority’s country.  (c) Facilitate prompt clearance through customs of any property required for the Services and of the personal effects of the Personnel and their eligible dependents.  (d) Issue to officials, agents and representatives of the Contracting Authority all such instructions as may be necessary or appropriate for the prompt and effective implementation of the Services.  (e) Exempt the Contractor and the Personnel and any Sub-Contractors employed by the Contractor for the Services from any requirement to register or obtain any permit to practice their profession or to establish themselves either individually or as a corporate entity according to the Applicable Law.  (f) Grant to the Contractor, any Sub-Contractors and the Personnel of either of them the privilege, pursuant to the Applicable Law, of bringing into the Contracting Authority’s country reasonable amounts of foreign currency for the purposes of the Services or for the personal use of the Personnel and their dependents and of withdrawing any such amounts as may be earned therein by the Personnel in the execution of the Services.  (g) Provide to the Contractor, Sub-Contractors and Personnel any such other assistance as may be **specified in the SC**. | |
| **5.2 Access to Land** | The Contracting Authority warrants that the Contractor shall have, free of charge, unimpeded access to all land in the Contracting Authority’s country in respect of which access is required for the performance of the Services. The Contracting Authority will be responsible for any damage to such land or any property thereon resulting from such access and will indemnify the Contractor and each of the Personnel in respect of liability for any such damage, unless such damage is caused by the default or negligence of the Contractor or any Sub-Contractors or the Personnel of either of them. | |
| **5.3 Change in the Applicable Law Related to Taxes and Duties** | If, after the date of this Contract, there is any change in the Applicable Law with respect to taxes and duties which increases or decreases the cost incurred by the Contractor in performing the Services, then the remuneration and reimbursable expenses otherwise payable to the Contractor under this Contract shall be increased or decreased accordingly by agreement between the Parties hereto, and corresponding adjustments shall be made to the ceiling amounts specified in Clause GC 6.1(b). | |
| **5.4 Services, Facilities and Property of the Contracting Authority** | (a) The Contracting Authority shall make available to the Contractor and the Personnel, for the purposes of the Services and free of any charge, the services, facilities and property described in Appendix A at the times and in the manner specified in said Appendix A.  (b) In case that such services, facilities and property shall not be made available to the Contractor as and when specified in Appendix A, the Parties shall agree on (i) any time extension that it may be appropriate to grant to the Contractor for the performance of the Services, (ii) the manner in which the Contractor shall procure any such services, facilities and property from other sources, and (iii) the additional payments, if any, to be made to the Contractor as a result thereof pursuant to Clause GC 6.1(c) hereinafter. | |
| **5.5 Payment** | In consideration of the Services performed by the Contractor under this Contract, the Contracting Authority shall make to the Contractor such payments and in such manner as is provided by Clause GC 6 of this Contract. | |
| **5.6 Counterpart Personnel** | (a) The Contracting Authority shall make available to the Contractor free of charge such professional and support counterpart personnel, to be nominated by the Contracting Authority with the Contractor’s advice, if specified in Appendix A.  (b) If counterpart personnel are not provided by the Contracting Authority to the Contractor as and when specified in Appendix A, the Contracting Authority and the Contractor shall agree on (i) how the affected part of the Services shall be carried out, and (ii) the additional payments, if any, to be made by the Contracting Authority to the Contractor as a result thereof pursuant to Clause GC 6.1(c) hereof.  (c) Professional and support counterpart personnel, excluding Contracting Authority’s liaison personnel, shall work under the exclusive direction of the Contractor. If any member of the counterpart personnel fails to perform adequately any work assigned to such member by the Contractor that is consistent with the position occupied by such member, the Contractor may request the replacement of such member, and the Contracting Authority shall not unreasonably refuse to act upon such request. |

**6. Payments to the Contractor**

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| **6.1 Cost Estimates; Ceiling Amount** | (a) An estimate of the cost of the Services payable in US Dollars is set forth in Appendix C.  (b) Except as may be otherwise agreed under Clause GC 2.6 and subject to Clause GC 6.1(c), payments under this Contract shall not exceed the ceiling **specified in the SC.**  (c) Notwithstanding Clause GC 6.1(b) hereof, if pursuant to any of the Clauses GC 5.3, 5.4 or 5.6 hereof, the Parties shall agree that additional payments shall be made to the Contractor in order to cover any necessary additional expenditures not envisaged in the cost estimates referred to in Clause GC 6.1(a) above, the ceiling or ceilings, as the case may be, set forth in Clause GC 6.1(b) above shall be increased by the amount or amounts, as the case may be, of any such additional payments. |
| **6.2 Remuneration and Reimbursable Expenses** | ***Option 1: Global Price Contracts***  Subject to the ceilings specified in Clause GC 6.1(b) hereof, the Contracting Authority shall pay to the Contractor, in fix instalments, (i) the remuneration as set forth in SC hereunder, and (ii) the reimbursable expenses as set forth in Clause SC hereunder, based on the following Schedule stated in SC.  Unless otherwise specified in the SC, the remuneration shall be fixed for the duration of the Contract.  ***Option 2: Fee Based Contracts***  (a) Subject to the ceilings specified in Clause GC 6.1(b) hereof, the Contracting Authority shall pay to the Contractor (i) remuneration as set forth in Clause GC 6.2(b) hereunder, and (ii) reimbursable expenses as set forth in Clause GC 6.2(c) hereunder. Unless otherwise **specified in the SC**, said remuneration shall be fixed for the duration of the Contract.  (b) Payment for the Personnel shall be determined on the basis of time actually spent by such Personnel in the performance of the Services after the date determined in accordance with Clause GC 2.3 and **Clause SC 2.3** (or such other date as the Parties shall agree in writing), at the rates referred to in Appendix C to this Contract, and subject to price adjustment, if any, **specified in SC.**  (c) Reimbursable expenses actually and reasonably incurred by the Contractor in the performance of the Services and identified in Appendix C of this Contract, shall not exceed the ceiling **specified in SC.**  (d) The remuneration rates referred to under paragraph (b) here above shall cover: (i) such salaries and allowances as the Contractor shall have agreed to pay to the Personnel as well as factors for social charges and overhead (bonuses or other means of profit-sharing shall not be allowed as an element of overhead), (ii) the cost of backstopping by home office staff not included in the Personnel listed in Appendix B, and (iii) the Contractor’s fee.  (e) Any rates specified for Personnel not yet appointed shall be provisional and shall be subject to revision, with the written approval of the Contracting Authority, once the applicable salaries and allowances are known.  (f) Payments for periods of less than one month shall be calculated on an hourly basis for actual time spent in the Contractor’s home office and directly attributable to the Services (one hour being equivalent to 1/176th of a month) and on a calendar-day basis for time spent away from home office (one day being equivalent to 1/30th of a month). |
| **6.3 Currency of Payment** | All payments shall be made in US Dollars. |
| **6.4 Mode of Billing and Payment** | Billings and payments in respect of the Services shall be made as follows:  ***Option 1: Global Price Contracts***   1. All payments under this Contract shall be made to the accounts of the Contractor **specified in the SC.** 2. Within the number of days after the Effective Date specified in the SC, the Contracting Authority shall cause to be paid to the Contractor advance payments as **specified in the SC**. When the SC indicate advance payment, this will be due after provision by the Contractor to the Contracting Authority of an advance payment guarantee acceptable to the Contracting Authority in an amount (or amounts) and in a currency specified in the SC. Such guarantee (i) to remain effective until the advance payment has been fully set off, and (ii) to be in the form set forth in Appendix D hereto, or in such other form as the Contracting Authority shall have approved in writing. The advance payments will be set off by the Contracting Authority in equal instalments against the statements for the number of months of the Services specified in the SC until said advance payments have been fully set off. 3. The payments shall be done within thirty (30) days upon receipt of the original invoice accompanied by the supporting documents to demonstrate the acceptance by the Contracting Authority of the Contractor deliverable which the payment is tight upon.   ***Option 2: Fee Based Contracts***  (a) All payments under this Contract shall be made to the accounts of the Contractor **specified in the SC.**  (b) Within the number of days after the Effective Date specified in the SC, the Contracting Authority shall cause to be paid to the Contractor advance payments as **specified in the SC.** When the SC indicate advance payment, this will be due after provision by the Contractor to the Contracting Authority of an advance payment guarantee acceptable to the Contracting Authority in an amount (or amounts) and in a currency **specified in the SC.** Such guarantee (i) to remain effective until the advance payment has been fully set off, and (ii) to be in the form set forth in Appendix D hereto, or in such other form as the Contracting Authority shall have approved in writing. The advance payments will be set off by the Contracting Authority in equal installments against the statements for the number of months of the Services **specified in the SC** until said advance payments have been fully set off.  (c) As soon as practicable and not later than fifteen (15) days after the end of each calendar month during the period of the Services, or after the end of each time intervals **otherwise indicated in the SC,** the Contractor shall submit to the Contracting Authority, in duplicate, itemized statements, accompanied by copies of invoices, vouchers and other appropriate supporting materials, of the amounts payable pursuant to Clauses GC 6.3 and GC 6.4 for such month, or any other period indicated in the SC. Separate statements shall be submitted in respect of amounts payable in foreign currency and in local currency. Each statement shall distinguish that portion of the total eligible costs which pertains to remuneration from that portion which pertains to reimbursable expenses.  (d) The Contracting Authority shall pay the Contractor’s statements within sixty (60) days after the receipt by the Contracting Authority of such statements with supporting documents. Only such portion of a statement that is not satisfactorily supported may be withheld from payment. Should any discrepancy be found to exist between actual payment and costs authorized to be incurred by the Contractor, the Contracting Authority may add or subtract the difference from any subsequent payments. Interest at the annual rate **specified in the SC** shall become payable as from the above due date on any amount due by, but not paid on, such due date.  (e) The final payment under this Clause shall be made only after the final report and a final statement, identified as such, shall have been submitted by the Contractor and approved as satisfactory by the Contracting Authority. The Services shall be deemed completed and finally accepted by the Contracting Authority and the final report and final statement shall be deemed approved by the Contracting Authority as satisfactory ninety (90) calendar days after receipt of the final report and final statement by the Contracting Authority unless the Contracting Authority, within such ninety (90) day period, gives written notice to the Contractor specifying in detail deficiencies in the Services, the final report or final statement. The Contractor shall thereupon promptly make any necessary corrections, and thereafter the foregoing process shall be repeated. Any amount, which the Contracting Authority has paid or caused to be paid in accordance with this Clause in excess of the amounts actually payable in accordance with the provisions of this Contract, shall be reimbursed by the Contractor to the Contracting Authority within thirty (30) days after receipt by the Contractor of notice thereof. Any such claim by the Contracting Authority for reimbursement must be made within twelve (12) calendar months after receipt by the Contracting Authority of a final report and a final statement approved by the Contracting Authority in accordance with the above.  (f) Payments in respect of remuneration or reimbursable expenses, which exceed the cost estimates for these items as set forth in Appendices D, may be charged to the respective contingencies only if such expenditures were approved by the Contracting Authority prior to being incurred.  (g) With the exception of the final payment under (d) above, payments do not constitute acceptance of the Services nor relieve the Contractor of any obligations hereunder. |
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**7. Fairness, Good Faith and Non-Waiver**

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| **7.1 Good Faith** | The Parties undertake to act in good faith with respect to each other’s rights under this Contract and to adopt all reasonable measures to ensure the realization of the objectives of this Contract. |
| **7.2 Operation of the Contract**  **7.3 Non waiver**  **8.1.** | The Parties recognize that it is impractical in this Contract to provide for every contingency which may arise during the life of the Contract, and the Parties hereby agree that it is their intention that this Contract shall operate fairly as between them, and without detriment to the interest of either of them, and that, if during the term of this Contract either Party believes that this Contract is operating unfairly, the Parties will use their best efforts to agree on such action as may be necessary to remove the cause or causes of such unfairness, but no failure to agree on any action pursuant to this Clause shall give rise to a dispute subject to arbitration in accordance with Clause GC 8 hereof.  Non waiver means that:   1. No relaxation, forbearance, delay, or indulgence by either party in enforcing any of the terms and conditions of the Contract or the granting of time by either party to the other shall prejudice, affect, or restrict the rights of that party under the Contract, neither shall any waiver by either party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract. 2. Any waiver of a party’s rights, powers, or remedies under the Contract must be in writing, dated, and signed by an authorized representative of the party granting such waiver, and must specify the right and the extent to which it is being waived.   **8. FINANCIAL GUARANTEE (Advance Payment Guarantee)**  Unless otherwise provided for in the Special Conditions, the Contractor shall provide a financial guarantee for the bull amount of the pre-financing payment. The financial guarantee shall be in the format provided for in the contract and may be provided in the form of a bank guarantee, a banker’s draft, a certified cheque, a bond provided by an insurance and/or bonding company, an irrevocable letter of credit or a cash deposit made with the Contracting Authority. If the financial guarantee is to be provided in the form of a bank guarantee, a banker’s draft, a certified cheque or a bond it shall be issued by a bank or bonding and/or insurance company approved by the Contracting Authority. This financial guarantee shall remain valid until it is released by the Contracting Authority as appropriate. Where the Contractor is a public body the obligation for a financial guarantee may be waived depending on a risk assessment made.  The financial guarantee shall be provided on the letterhead of the financial institution using the template provided in Appendix D.  Should the financial guarantee cease to be valid and the Contractor fail to re-validate it, either a deduction equal to the amount of the pre-financing may be made by the Contracting Authority from future payments due to the Contractor under the contract, or the Contracting Authority shall give formal notice to the Contractor to provide a new guarantee on the same terms as the previous one. Should the Contractor fail to provide a new guarantee, the Contracting Authority may terminate the contract giving 30 days notice.  If the contract is terminated for any reason whatsoever, the financial guarantee may be invoked forthwith in order to repay any balance still owed to the Contracting Authority by the Contractor, and the guarantor shall not delay payment or raise objection for any reason whatsoever.  For fee-based contracts, the financial guarantee shall be released when the advance is reimbursed according to article 6.4 (option two).  For global price contracts, (i) if the contract is not divided between different outputs that the Contracting Authority can approve independently, or has a duration of less than two years, the advance guarantee shall remain in force until the final payment has been made and (ii) if the contract has a duration of at least two years and if the budget is divided between different outputs that the Contracting Authority can approve independently, the guarantee shall be released when the pre financing is reimbursed in accordance with article 6.4. |

**9. Settlement of Disputes**

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| **9.1 Amicable Settlement** | If either Party objects to any action or inaction of the other Party, the objecting Party may file a written Notice of Dispute to the other Party providing in detail the basis of the dispute. The Party receiving the Notice of Dispute will consider it and respond in writing within 14 days after receipt. If that Party fails to respond within 14 days, or the dispute cannot be amicably settled within 14 days following the response of that Party, Clause GC 8.2 shall apply. |
| **9.2 Dispute Resolution** | Any dispute between the Parties as to matters arising pursuant to this Contract that cannot be settled amicably according to Clause GC 8.1 may be submitted by either Party for settlement in accordance with the provisions **specified in the SC**. |

**III. Special Conditions of Contract**

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| **Number of GC Clause** | **Amendments of, and Supplements to, Clauses in the General Conditions of Contract** |
| **1.1(a)** | Applicable law is the one of Botswana. |
| **1.1 (b)** | The Contracting Authority is: **SADC Secretariat** |
| **1.1 (c)** | The Contractor is: |
| **1.4** |  |
| **1.6** | **The addresses are:**  Contracting Authority  **SADC Secretariat**  CBD Plot 54385  **Gaborone**  **Botswana**  **Phone: +2673951863 Ext 1762**  Contractor:  Attention:  Phone: |
| **1.8** | The Member-in-charge authorized to act on behalf of the Joint Venture / Consortium / Association is: Francois Conradie |
| **1.9.1** | The Authorized Representatives are:  **For the Contracting Authority:**  The Task Manager is:  The alternate Task Managers are:  **For the Contractor:**  The Project Director is: |
| **1.9.2** | The Contracting Authority delegates to the Task Manager the following authority under this Contract:  *The Task manager shall be the first point of contact for operational implementation and shall oversee operational implementation on a day-to-day basis. The Task Manager will approve reports submitted by the Contractor, approve invoices, provide technical guidance on programme implementation.*  *The Alternate Task Managers shall be the point of contact for operational implementation in the absence of the Task Manager.* |
| **1.9.4** | The Contractor delegates the Project Director the following authority under this Contract: *[list the authority of the Project]* |
| **1.10** | Taxes and Duties:  It is the responsibility of the Contractor to ensure that they familiarize themselves with the relevant tax regulations in their home country and in Botswana. The Contractor, its sub-contractors and its personnel shall be liable for all applicable taxes. |
| **2.1** | The effective date of the contract is the date of its signing by the Contractor (i.e. the signature of the second party). |
| **2.3** | Commencement of services is expected to start **within 30 days** of the effective date of the contract. |
| **2.4** | The duration of the contract **is 150days** |
| **3.4** | 3.4 Limitation of the Contractors’ Liability towards the Contracting Authority  (a) Except in case of gross negligence or willful misconduct on the part of the Contractors or on the part of any person or firm acting on behalf of the Contractors in carrying out the Services, the Contractors, with respect to damage caused by the Contractors to the Contracting Authority’s property, shall not be liable to the Contracting Authority:  (i) for any indirect or consequential loss or damage; and  (ii) for any direct loss or damage that exceeds by *2* times the total value of the Contract.  (b) This limitation of liability shall not affect the Contractors’ liability, if any, for damage to Third Parties caused by the Contractors or any person or firm acting on behalf of the Contractors in carrying out the Services. |
| **3.5** | The risks and the coverage shall be as follows:  (a) Third Party motor vehicle liability insurance in respect of motor vehicles operated in the Contracting Authority’s country by the Contractor or its Personnel or any Sub-Contractors or their Personnel, with a minimum coverage of *US$5,000*  (b) Third Party liability insurance, with a minimum coverage of *US$5,000*;  (c) professional liability insurance, with a minimum coverage of *US$50,000*  (d) employer’s liability and workers’ compensation insurance in respect of the Personnel of the Contractor and of any Sub-Contractors, in accordance with the relevant provisions of the Applicable Law, as well as, with respect to such Personnel, any such life, health, accident, travel or other insurance as may be appropriate; and  (e) insurance against loss of or damage to (i) equipment purchased in whole or in part with funds provided under this Contract, (ii) the Contractor’s property used in the performance of the Services, and (iii) any documents prepared by the Contractor in the performance of the Services. |
| **3.9** | The Contractor shall not use these documents and software for purposes unrelated to this Contract without the prior written approval of the SADC Secretariat. |
| **3.12.3** | **3.12.3Processing of Personal Data**  3.12.3.1 For the avoidance of doubt, references to the term Personal Data shall only apply to Personal Data processed in the course of the performance of the obligations imposed on the Contractor pursuant to or under the Contract.  3.12.3.2 The Contractor shall:  (a) process Personal Data provided by the Contracting Authority for fulfilling specific obligations and instructions from the Contracting Authority as set out in the Contract.  (b) comply with all Applicable Data Protection Law when Processing Personal Data.  (c) not utilize Personal Data transferred to it by the Contracting Authority for any other purpose than provided in the Contract.  (d) keep the Personal Data confidential and not disclose it to third parties or in any other way use the Personal Data in contravention of the provisions of the Contract; and  (e) ensure that any of its personnel, agent, or sub-contractor who may have access to the Personal Data, commit themselves to confidentiality of the Personal Data processed under the Contract unless they are under an appropriate statutory obligation of confidentiality.  **3.12.3.3 Data Subject Rights**  3.12.3.31 The Contractor shall assist the Contracting Authority by implementing appropriate technical and organisational measures for the fulfilment of the Contracting Authority’s obligations to respond to requests by Data Subjects in respect of Personal Data.  3.12.3.3.2 The Contractor shall:  (a) promptly notify the Contracting Authority if it receives a request from a Data Subject in respect of the Personal Data.  (b) ensure that it does not respond to any request except on the documented instructions of the Contracting Authority.  (c) promptly notify the Contracting Authority if it receives any communication from any Supervisory or Regulatory Authority in connection with the Personal Data; and  (d) promptly notify the Contracting Authority if it receives a request from any third party for disclosure of Personal Data where compliance with such request is required or purported to be required by law.  **3.12.3.3.4Transfer of Personal Data**  3.12.3.3.4.1The Contractor shall not transfer or authorise the transfer of Personal Data outside the country of the Contracting Authority without prior written authorisation of the Contracting Authority.  3.12.3.3.4.2Subject to clause SC 3.12.3.3.4.1 above, Personal Data may only be transferred to a jurisdiction or international organisation that ensures adequate level of protection. If Personal Data processed under the Contract is transferred outside of the country of the Contracting Authority, the Contractor as Data Processor shall ensure that there are appropriate safeguards to protect the Personal Data.  3.12.3.3.4.3The Contractor shall ensure the following before transferring Personal Data:  (a) the party receiving the Personal Data will apply a protection level equivalent to or higher than the measures set out in the Applicable Data Protection Laws.  (b) the party receiving the Personal Data has appropriate safeguards if the third country does not provide adequate level of protection.  (c) processing of Personal Data by the party receiving it is restricted to the purpose authorised by the Contracting Authority; and  (d) the transfer of Personal Data is compatible with the reasonable expectations of the Data Subject.  **3.12.3.3.5 Information Security**  3.12.3.3.5.1The Contractor must implement all appropriate technical and organisational measures necessary to ensure a level of security as required under the SADC Protection of Personal Data Policy and Applicable Law.  3.12.3.3.5.2The Contractor undertakes to inform the Contracting Authority of the technical and organisational measures it will implement to protect the Personal Data processed on behalf of the Contracting Authority.  3.12.3.3.5.3The Contractor must inform the Contracting Authority of any changes that could affect the protection of Personal Data before implementing such changes.  **3.12.3.3.6 Personal Data Breach**  3.12.3.3.6.1The Contractor must immediately notify the Contracting Authority of any security compromise or data breach which involves Personal Data.  3.12.3.3.6.2 The Personal Data breach notification from the Contractor must provide sufficient information to allow the Contracting Authority to meet any obligations or to report or inform the affected Data Subjects.  3.12.3.3.6.3 The notification must provide the following information:  (a) a description of the nature of the data breach.  (i) a list of Data Subjects affected; and  (ii) the security measures implemented or to be implemented to address the data breach.  (b) The Contractor shall cooperate with the Contracting Authority and take reasonable steps as directed by the Contracting Authority to assist the investigation, mitigation, and remediation of such Personal Data breach.  **3.12.3.3.7 Records**  3.12.3.3.7.1The Contractor shall maintain complete, accurate and up-to-date written records of all Data Processing carried out under or in connection with the Contract.  3.12.3.3.7.2 The records maintained by the Contractor shall contain the following information:  (a) the name and contact details of the Contractor’s representative or the Data Protection Officer, if any;  (b) the categories of Data Processing carried out on behalf of the Contracting Authority;  (c) where applicable, details of any transfers of Personal Data, including the identity of the recipient of such transferred Personal Data and the countries to which such Personal Data is transferred together with details of the appropriate safeguards put in place; and  (d) a general description of the security measures implemented by the Contractor.  **3.12.3.3.8 Sub-Processing**  The Contractor shall ensure that any Sub-Contractors processing Personal Data shall do so lawfully and in line with this Clause, where applicable.  **3.12.3.3.9 Deletion or Return of Personal Data**  3.12.3.3.9.1 Upon the expiration of the Contract, or termination of the Contract pursuant to Clause GC 30, the Contractor shall immediately cease processing Personal Data under its possession or control.  3.12.3.3.9.2 Within 10 (ten) days following the date of expiration or termination of the Contract, the Contractor shall, at the written direction of the Contracting Authority, securely return or delete Personal Data including any copies of it.  3.12.3.3.9.3 The Contractor shall provide the Contracting Authority with written certification that it has fully complied with the provisions of this Clause.  3.12.3.3.9.4 If the Contractor is required by law to retain the Personal Data, the Contractor shall advise the Contracting Authority accordingly. |
| **5.1 (a) (b) (c) (e) (f)** | **GCC 5.1(a) (b) (c) (e) (f)** is replaced by “The Contracting Authority shall use its best efforts to assist the Contractor to obtain, where necessary, from the responsible Government Authority in Contracting Authority’s country the required permits or approvals. |
| **6.1(b)** | The contract ceiling in US$ |
| **6.2** | **Global Price Contract**  **6.2 (i) The payment schedule is the following:**  Payment schedule is related to reports and their approvals, as follows:  20% of the contract price shall be paid upon submission and approval of the Inception report.  60% of the contract price shall be paid upon submission and approval of the Peer review programme.  20% of the contract price shall be paid upon submission of final  validated  Peer review programme |
| **6.4(a)** | The payments will be made into the following account:   |  |  | | --- | --- | | Account Name |  | | Account Number |  | | Bank Name |  | | Bank Branch |  | | Bank Sort Code |  | | Currency |  | |
| **6.4(b)** | There is no advance payment under this contract. |
| **9.2** | Disputes shall be settled by negotiation and arbitration in accordance with the following provisions:  (a)     The Parties shall use all their best efforts to settle all disputes arising out of, or in connection with, this Contract or its interpretation amicably.  (b)    In the event that, through negotiation, the parties fail to resolve a dispute arising from the conclusion, interpretation, implementation or termination of the contract, the parties shall settle the dispute by arbitration.  (c)     The arbitral tribunal shall consist of three arbitrators. Each party to the dispute shall appoint one arbitrator. The two arbitrators so appointed shall appoint the third arbitrator, who shall be the Chairperson. If within 15 days of receipt of the request for arbitration either party has not appointed an arbitrator, or if within 7 days of the appointment of the two arbitrators the third arbitrator has not been appointed, either party may request an appointing authority agreed by the parties to appoint an arbitrator.  (d)    If no appointing authority has been agreed upon by the parties, or if the appointing authority agreed upon refuses to act or fails to appoint the arbitrator within 30 days of the receipt of a party’s request, either party may request the Chairman of the Botswana Institute of Arbitrators to appoint a sole arbitrator.  (e)     The appointing authority shall, at the request of one of the parties, appoint the sole arbitrator as promptly as possible.  (f)     The procedure of arbitration shall be fixed by the arbitral tribunal/sole arbitrator which shall have full power to settle all questions of procedure in any case of disagreement with respect thereto.  (g)    The decisions of the arbitral tribunal/sole arbitrator shall be final and binding upon the parties.  (h)    The arbitration shall take place in Botswana and the substantive laws of Botswana shall apply.  Nothing in this Clause shall affect the privileges and immunities of SADC as an Organisation. |

**IV. Appendices**

**Appendix A – Terms Of Reference**

***Note:*** *This Appendix will include the final Terms of Reference worked out by the Contracting Authority and the Contractors during technical negotiations, dates for completion of various tasks, place of performance for different tasks, specific tasks to be approved by Contracting Authority, etc.*

**Appendix B – Technical Proposal**

***Note:*** *List format, frequency, and contents of reports; persons to receive them; dates of submission; etc. If no reports are to be submitted, state here “Not applicable.”*

**Appendix C – Financial Proposal**

1. This Information to Bidders section shall not be modified. Any necessary changes to address specific country and project issues, shall be introduced only through the Data Sheet (e.g., by adding new clauses). Likewise, modifications to the standard Form of Contract should be made only by including clauses outlining the special conditions and not by introducing changes in the wording of the general conditions. [↑](#footnote-ref-1)
2. **The CV must not exceed eight (8) pages.**  [↑](#footnote-ref-2)
3. **3 *The proof of stated qualifications shall be in the form of the copies of the degrees and diploma obtained, while for the professional experience the proof shall be either acknowledgement letters from the previous employers or copies of the contract signed with them.***  [↑](#footnote-ref-3)
4. Position held in the Contract must be indicated as well. [↑](#footnote-ref-4)
5. Months/ weeks are counted from the start of the assignment. For each staff indicate separately staff input for home and field work. [↑](#footnote-ref-5)
6. Select months or weeks as needed. [↑](#footnote-ref-6)
7. Field work means work carried out at a place other than the Contractor’s home office. [↑](#footnote-ref-7)
8. Indicate all main activities of the assignment, including delivery of reports (e.g.: inception, interim, and final reports), and other benchmarks such as Procuring Entity’s approvals. For phased assignments indicate activities, delivery of reports, and benchmarks separately for each phase. [↑](#footnote-ref-8)
9. Duration of activities shall be indicated in the form of a bar chart [↑](#footnote-ref-9)
10. INFORM Index measures the coping, vulnerability, and exposure to risk rating of an area. It has a scale of 1 to 10, 1 being better of and 10 worse off, Africa Biennial Report on Disaster Risk Reduction, 2022. [↑](#footnote-ref-10)
11. <https://www.gidrm.net/en/gidrm-phase-3>. [↑](#footnote-ref-11)
12. “Another party” refers to a public official acting in relation to the selection process or contract execution. In this context, “public official” includes SADC Secretariat staff and employees of other organizations taking or reviewing procurement decisions. [↑](#footnote-ref-12)
13. A “party” refers to a public official; the terms “benefit” and “obligation” relate to the selection process or contract execution; and the “act or omission” is intended to influence the selection process or contract execution. [↑](#footnote-ref-13)
14. “Parties” refers to participants in the selection process (including public officials) attempting to establish bid prices at artificial, non competitive levels. [↑](#footnote-ref-14)
15. A “party” refers to a participant in the selection process or contract execution. [↑](#footnote-ref-15)